

**NOVARTIS INDIA LIMITED**  
**SHAREHOLDING PATTERN AS ON 31.12.2012**

**(I) (a) Statement showing Shareholding Pattern**

SCRIP CODE : 500672		Name of Scrip :NOVARTIS		Class of Security : Equity		Quarter Ended : 31.12.2012		
Category Code	<u>Partly paid up shares</u>			Number of partly paid up shares	As a % of total number of partly paid up shares	As a % of total number of shares of the Company		
	Held by Promoter/Promoter group							
	Held by Public							
	<b>Total</b>			0	0.00	0.00		
	<u>Outstanding Convertible Securities</u>			Number of outstanding Securities	As a % of total No. of outstanding Con. Securities	As a % of total No. of shares of the Company, assuming full conversion of the Securities		
	Held by Promoter/Promoter group							
	Held by Public							
	<b>Total</b>			0	0.00	0.00		
	<u>Warrants</u>			Number of warrants	As a % of total number of warrants	As a % of total number of shares of the Company assuming full conversion of warrants		
	Held by Promoter/Promoter group							
	Held by Public							
	<b>Total</b>			0	0.00	0.00		
<b>Total paid up capital of the Company, assuming full conversion of warrants and convertible securities</b>								
Category Code	Category of Shareholders	Number of Shareholders	Total No. of shares	Number of shares held in demat form	Total shareholding as a percentage of total number of shares		Shares pledged or otherwise encumbered	
					As a percentage of (A+B)	(A+B+C)	Number of Shares	As a %
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)=(VIII)/(IV)*100
<b>(A)</b>	<b>Shareholding of Promoter and Promoter Group<sup>2</sup></b>							
(1)	<b>Indian</b>							
(a)	Individuals/H.U.F	0	0	0	0.00	0.00		
(b)	Central/State Government(s)	0	0	0	0.00	0.00		
(c)	Bodies Corporate	0	0	0	0.00	0.00		
(d)	Financial Institutions/Banks	0	0	0	0.00	0.00		
(e)	Any Other (specify)	0	0	0	0.00	0.00		
	<b>Sub-Total (A)(1)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>		
(2)	<b>Foreign</b>							
(a)	Individuals(Non Resident Individuals/Foreign Individuals)	0	0	0	0.00	0.00		
(b)	Bodies Corporate	4	24424802	24424802	76.42	76.42		
(c)	Institutions	0	0	0	0.00	0.00		
(d)	Qualified Foreign Investor	0	0	0	0.00	0.00		
(e)	Any Other (specify)	0	0	0	0.00	0.00		
e-i								
	<b>Sub-Total (A)(2)</b>	<b>4</b>	<b>24424802</b>	<b>24424802</b>	<b>76.42</b>	<b>76.42</b>		
	<b>Total holding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)</b>	<b>4</b>	<b>24424802</b>	<b>24424802</b>	<b>76.42</b>	<b>76.42</b>		
<b>(B)</b>	<b>Public Shareholding</b>							
1	<b>Institutions</b>							
(a)	Mutual Fund/UTI	11	39227	38554	0.12	0.12		
(b)	Financial Institutions/Banks	39	5036	2875	0.02	0.02		
(c)	Central/State Government(s)	0	0	0	0.00	0.00		
(d)	Venture Capital Funds	0	0	0	0.00	0.00		
(e)	Insurance Companies	3	291961	291961	0.91	0.91		
(f)	Foreign Institutional Investors	23	615639	615639	1.93	1.93		
(g)	Foreign Venture Cap. Investors	0	0	0	0.00	0.00		
(h)	Qualified Foreign Investor	0	0	0	0.00	0.00		
(i)	Any Other (specify)	0	0	0	0.00	0.00		
(i-ii)								
(i-ii)								
	<b>Sub-Total (B)(1)</b>	<b>76</b>	<b>951863</b>	<b>949029</b>	<b>2.98</b>	<b>2.98</b>		
<b>B 2</b>	<b>Non Institutions</b>							
(a)	Bodies Corporate	525	735551	731752	2.30	2.30		
(b)	Individuals							
I	Individual-i. Individual shareholders holding nominal share capital up to Rs. 1 lakh	39315	4924957	4108239	15.42	15.42		
II	ii) Individual shareholders holding nominal share capital in excess of Rs.1 lakh	16	757837	717287	2.37	2.37		
(c)	Qualified Foreign Investor							
(d)	Any Other(specify)							
(d-i)	NRI - Non Rep.	264	114674	112242	0.36	0.36		
(d-ii)	NRI - Rep.	230	49309	47559	0.15	0.15		
(d-iii)	Foreign National	1	210	210	0.00	0.00		
(d-iv)	Overseas Corporate Bodies	0	0	0	0.00	0.00		
(d-v)	Foreign Bodies	0	0	0	0.00	0.00		
(d-vi)	Trust	7	1594	1594	0.00	0.00		
(d-vii)	Clearing Member	0	0	0	0.00	0.00		
	<b>Sub-Total (B)(2)</b>	<b>40358</b>	<b>6584132</b>	<b>5718883</b>	<b>20.60</b>	<b>20.60</b>		
	<b>Total Public shareholding (B)=(B)(1)+(B)(2)</b>	<b>40434</b>	<b>7535995</b>	<b>6667912</b>	<b>23.58</b>	<b>23.58</b>		
	<b>TOTAL (A)+(B)</b>	<b>40438</b>	<b>31960797</b>	<b>31092714</b>	<b>100.00</b>	<b>100.00</b>		
<b>(C)</b>	<b>Shares held by Custodians and against which Depository Receipts have been issued</b>							
1	Promoter and Promoter Group							
2	Public							
	<b>Sub Total (C)</b>							
	<b>GRAND TOTAL (A)+(B)+(C)</b>	<b>40438</b>	<b>31960797</b>	<b>31092714</b>	<b>100.00</b>	<b>100.00</b>		

(l) (b) Statement showing holding of securities (including shares,warrants,convertible securities) of persons belonging to the category "Promoter and Promoter Group"

Sr.No.	Name of the shareholder	Details of Shares held		Encumbered shares(*)			Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities as a % of diluted share capital
		No of Shares held	As a % of grand total (A)+(B)+(C)	Number	As a Percentage (VI) = (V)/(III)*100	As a % of grand total (A)+(B)+(C) of sub-clause (l)(a)	No of warrants held	As a % of total number of warrants of the same class	Number of convertible securities held	As a % of total number of convertible securities of the same class	
( I )	( II )	( III )	( IV )	( V )	( VI ) = (V)/(III)*100	( VII )	(VIII)	( IX )	( X )	( XI )	( XII )
1	NOVARTIS AG	10624650	33.24	0	0.00	0.00	N. A.	N. A.	N. A.	N. A.	33.24
2	NOVARTIS AG	8147365	25.50	0	0.00	0.00	N. A.	N. A.	N. A.	N. A.	25.50
3	NOVARTIS AG	2921800	9.14	0	0.00	0.00	N. A.	N. A.	N. A.	N. A.	9.14
4	NOVARTIS AG	2730987	8.54	0	0.00	0.00	N. A.	N. A.	N. A.	N. A.	8.54
<b>TOTAL</b>		<b>24424802</b>	<b>76.42</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>					<b>76.42</b>

(\*) The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations , 2011

Statement showing holding of securities (including shares,warrants,convertible securities) of persons belonging to the (l)(c)(i) category "Public" and holding more than 1% of the total number of shares.

Sr.No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (l)(a) above)	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities as a % of diluted share capital
				No of warrants held	As a % of total number of warrants of the same class	Number of convertible securities held	As a % of total number of convertible securities of the same class	
1	ATUL LIMITED	384660	1.20	N. A.	N. A.	N. A.	N. A.	1.20
<b>TOTAL</b>		<b>384660</b>	<b>1.20</b>					<b>1.20</b>

Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with (l)(c)(ii) PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company								
Sr.No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (l)(a)	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities as a
				No of warrants held	As a % of total number of warrants of the same class	Number of convertible securities held	As a % of total number of convertible securities of the same class	
	N. A.			N. A.	N. A.	N. A.	N. A.	N. A.
	<b>TOTAL</b>							

(1) (d) Statement showing details of locked in shares

Sr. No.	Name of shareholder	No. of locked-in shares	Locked-in shares as percentage of total no. of shares (i.e. Grand Total(A)+(B)+C) indicated in statement at para(1)(a) above}	Promoter/ Promoter/ Group/ Public
	N. A.			
	<b>TOTAL</b>			

(II) (a) Statement showing details of Depository Receipts (DRS)

Sr. No.	Type of outstanding Dr(ADRs, GDRs, SDRs, etc)	No. of DRs	No. of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total no. of shares (i.e. Grand Total (A)+(B)+C) indicated in
	N. A.			
	<b>TOTAL</b>			

(II) (b) Statement showing holding of Depository Receipts (DRs) where underlying shares are in excess of 1% of the total no.of shares

Sr. No.	Name of the DR Holder	Type of outstanding DR(ADRs, GDRs, SDRs, etc.)	No. of shares underlying outstanding DRs.	Shares underlying outstanding DRs as a percentage of total no. of shares (i.e. Grand Total (A)+(B)+C) indicated in Statement at para (1)(a)above}
	N. A.			
	<b>TOTAL</b>			