

ANNEXURE -I

Format of holding of specified securities

Name of Listed Entity: NOVARTIS INDIA LIMITED
Scrip Code : 500672 Name of Scrip : NOVARTIS Class of Security : **Equity**
Share Holding Pattern Filed under : Reg. 31(1)(a)/Reg.31(1)(b)/Reg.31 (1) (c)
a.If under 31 (1)(b) then indicate the report for quarter ending : 31.12.2015
b. If under 31(1)(c) then indicate date of allotment/extinguishment

Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No*
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No*
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No*
4	Whether the Listed Entity has any shares in locked-in?		No*
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No*

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5 The tabular format for disclosure of holding of specified securities is as follows:-

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the shareholders (I)	PAN (II)	Nos of shareholder (III)	No of fully paid-up equity shares held (IV)	Partly paid-up equity shares held (V)	No of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares(calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying Outstanding convertible securities (Including Warrants) (X)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) as a % of (A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights					Total as a % of Total Voting Rights	No. (a)	As a % of total shares held (b)	No. (a)		As a % of total shares held (b)
									Class eg: X	Class eg: Y	Total								
(1)	Indian																		
(a)	Individuals/H.U.F		0	0			0	0.00											0
(b)	Central/State Government(s)																		
	Name (xyz)																		
(c)	Financial Institutions/Banks																		
	Name (xyz)																		
(d)	Any Other (Specify)																		
	a) Bodies Corporate																		
	Sub- Total (A)(1)		0	0			0	0.00											0
(2)	Foreign																		
(a)	Individuals (Non-Resident Individuals/ Foreign Individuals)																		
	Name (xyz)																		
(b)	Government																		
	Name (xyz)																		
(c)	Institutions																		
	Name (xyz)																		
(d)	Foreign Portfolio Investor																		
	Name (xyz)																		
(e)	Any Other (Specify)																		
	a) Bodies Corporate		4	23970597			23970597	75.00											23970597
	Novartis AG	AAACN4932F	4	23970597			23970597	75.00											
	Sub- Total (A)(2)		4	23970597			23970597	75.00											23970597
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		4	23970597			23970597	75.00											23970597

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

Table III - Statement showing shareholding pattern of the Public Shareholder

	Category & Name of the shareholders (I)	PAN (II)	Nos of shareholder (III)	No of fully paid-up equity shares held (IV)	Partly paid-up equity shares held (V)	No of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares(calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying Outstanding convertible securities (Including Warrants) (X)	Total Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
									No of Voting Rights		Total as a % of Total Voting Rights			No. (a)	As a % of total shares held (b)	No. (Not applicable) (a)	As a % of total shares held (Not applicable)(b)	
									Class eg: X	Class eg: Y								
(1)	Institutions																	
(a)	Mutual Fund/UTI		13	148500			148500	0.46									NA	147827
	Name (xyz)																	
(b)	Venture Capital Funds																NA	
	Name (xyz)																	
(c)	Alternate Investment Funds																NA	
	Name (xyz)																	
(d)	Foreign Venture Capital Investors																NA	
	Name (xyz)																	
(e)	Foreign Portfolio Investors																NA	
	Name (xyz)																	
(f)	Financial Institutions Banks		38	6142			6142	0.02									NA	4051
	Name (xyz)																	
(g)	Insurance Companies		3	283086			283086	0.89									NA	283086
								0.00										
(h)	Provident Funds/Pension Funds																	
	Name (xyz)																	
(i)	Any Other (specify)																	
	Foreign Portfolio - Corp.		1	408			408	0.00										408
1)	Foreign Institutional Investors		4	30867			30867	0.10									NA	30867
	Sub- Total (B)(1)		59	469003	0	0	469003	1.47				0	0	0	0	0	NA	466239
(2)	Central Government/State Government(s)/President of India																NA	
	Name (xyz)																	
	Sub- Total (B)(2)		0	0			0	0.00									NA	0

(3)	Non- Institutions																		NA	
(a)	Individuals -																		NA	
	i.Individual shareholders holding nominal share capital up to Rs.2 lakhs.		42719	5881828			5881828	18.40											NA	5177475
	ii.Individual shareholders holding nominal share capital in excess of Rs.2 lakhs.		3	331336			331336	1.04											NA	331336
	Name (xyz)																		NA	
(b)	NBFCs registered with RBI																		NA	
	Name (xyz)																		NA	
(c)	Employee Trust																		NA	
	Name (xyz)																		NA	
(d)	Overseas Depositories (holding DRs) (balancing figure)																		NA	
	Name (xyz)																		NA	
(e)	Any Other (Specify)																		NA	
i)	Bodies Corporate		690	1063428			1063428	3.33											NA	1059629
	Atul Limited	AABCA2390M		384660			384660	1.20											NA	384660
ii)	Individual NRI- Rep.- Non Rep.		548	243022			243022	0.76											NA	239506
iii)	Foreign National/ Entity		1	54			54												NA	54
iv)	Trust		7	1529			1529	0.00											NA	1529
	Sub- Total (B)(3)		43968	7521197	0	0	7521197	23.53	0	0	0	0	0	0	0	0	0	0	NA	6809529
	Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		44027	7990200	0	0	7990200	25.00	0	0	0	0	0	0	0	0	0	0	NA	7275768

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the name of following persons:

Institutions/Non Institutions holding more than 1% of total number of shares.

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian,

Table IV - Statement showing shareholding pattern of the Non Promoter-Non Public Shareholder

	Category & Name of the shareholders (I)	PAN (II)	Nos of shareholder (III)	No of fully paid-up equity shares held (IV)	Partly paid-up equity shares held (V)	No of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying Outstanding convertible securities (Including Warrants) (X)	Total Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)		
									No of Voting Rights		Total as a % of Total Voting Rights			No.	As a % of total shares held	No. (Not applicable)	As a % of total shares held (Not applicable)			
									Class eg: X	Class eg: Y									Total	
(1)	Custodian/DR Holder																			
(a)	Name of DR Holder (If available)																			
(i)	abc.....																			
(ii)	efg.....																			
(2)	Employee Benefit Trust (Under SEBI (Share based Employee Benefit) Regulations, 2014)																			
(a)	Name (abc.....)																			
	Total Non-Promoter - Non Public Shareholding (C)=(C)(1)+(C)(2)																			
Note:																				
(1) PAN would not be displayed on website of Stock Exchange(s).																				
(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares																				
(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available,																				