

NOVARTIS INDIA LIMITED
SHAREHOLDING PATTERN AS ON 30.09.2011

(I) (a) Statement showing Shareholding Pattern									
SCRIP CODE : 500672		NAME OF SCRIP : NOVARTIS			CLASS OF SECURITY : EQUITY		QUARTER ENDED : 30.09.2011		
Category Code	Partly paid up shares				Number of partly paid up shares	As a % of total number of partly paid up shares	As a % of total number of shares of the Company		
	Held by Promoter/Promoter group								
	Held by Public								
	Total				0	0.00	0.00		
	Outstanding Convertible Securities				Number of outstanding Securities	As a % of total No. of outstanding Con. Securities	As a % of total No. of Shares of the Company, assuming full conversion of the Securities		
	Held by Promoter/Promoter group								
	Held by Public								
	Total				0	0.00	0.00		
	Warrants				Number of warrants	As a % of total number of warrants	As a % of total No. of Shares of the Company, assuming full conversion of the Securities		
	Held by Promoter/Promoter group								
	Held by Public								
	Total				0	0.00	0.00		
Total paid up capital of the Company, assuming full conversion of warrants and convertible securities									
Category Code	Category of Shareholders	Number of Shareholders	Total No. of shares	Number of shares held in demated form	Total shareholding as a percentage of total number of shares		Shares pledged or otherwise encumbered		
					As a percentage of (A+B) ¹	(A+B+C)	Number of Shares	As a %	
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)=(VIII)/(IV)*100	
(A)	Shareholding of Promoter and Promoter Group								
(1)	Indian								
(a)	Individuals/H.U.F								
(b)	Central/State Government(s)								
(c)	Bodies Corporate								
(d)	Financial Institutions/Banks								
(e)	Any Other (specify)								
	Sub-Total (A)(1)	0	0	0	0.00	0.00			
(2)	Foreign								
(a)	Non Resident Individuals/Foreign Nationals								
(b)	Bodies Corporate	6	24424802	8097650	76.42	76.42			
(c)	Institutions								
(d)	Any Other (specify)								
	Sub-Total (A)(2)	6	24424802	8097650	76.42	76.42	N.A	N.A	
	Total holding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)	6	24424802	8097650	76.42	76.42	N.A	N.A	
(B)	Public Shareholding								
(1)	Institutions								
(a)	Mutual Fund/UTI	11	292962	292289	0.92	0.92			
(b)	Financial Institutions/Banks	40	5136	2975	0.02	0.02			
(c)	Central/State Government(s)								
(d)	Venture Capital Funds								
(e)	Insurance Companies	3	291961	291961	0.91	0.91			
(f)	Foreign Institutional Investors	22	228465	228465	0.71	0.71			
(g)	Foreign Venture Cap. Inv								
	Sub-Total (B)(1)	76	818524	815690	2.56	2.56			
(2)	Non Institutions								
(a)	Bodies Corporate	469	682147	678348	2.13	2.13			
(b)	Individuals								
	i) Holding nominal share capital upto Rs. 1 lakh	39584	5076324	4196671	15.88	15.88			
	ii) Holding nominal share capital in excess of Rs. 1 lakh	16	802906	762356	2.51	2.51			
(c)	<u>Any Other(specify):</u>								
	Overseas Corporate Bodies								
	Non Resident Individuals	249	112207	109759	0.35	0.35			
	Non Resident (REP)	214	42943	41127	0.13	0.13			
	Trusts	6	944	944	0.00	0.00			
	Any Other								
	Sub-Total (B)(2)	40538	6717471	5789205	21.02	21.02			
	Total Public shareholding (B)=(B)(1)+(B)(2)	40614	7535995	6604895	23.58	23.58			
	TOTAL (A)+(B)	40620	31960797	14702545	100.00	100.00			
(C)	Shares held by Custodians and against which Depository Receipts have been issued								
1	Promoter and Promoter Group								
2	Public								
	GRAND TOTAL (A)+(B)+ (C)	40620	31960797	14702545	100.00	100.00			

NOVARTIS INDIA LIMITED

(I) (b) Statement showing Shareholding of Persons belonging to the category

"Promoter and Promoter Group"

SCRIP CODE: 500672				QUARTER ENDED: 30.09.2011		
Sr.No.	Name of the shareholder	Total Number of shares held	Shares as a % of total no. of shares (i.e. Grand total of (A)+(B)+(C) indicated in statement at para (I) (a) above)	Shares pledged or otherwise encumbered		
				Number of Shares	As a %	As a % of grand total (A+B+C) sub-clause (1)(a)
1	NOVARTIS AG	10624650	33.24			
2	NOVARTIS AG	8097650	25.34			
3	NOVARTIS AG	2921800	9.14			
4	NOVARTIS AG	2700000	8.45			
5	NOVARTIS AG	49715	0.15			
6	NOVARTIS AG	30987	0.10			
	TOTAL	24424802	76.42			

(I) (c) Statement showing Shareholding of persons belonging to the category

"Public" and holding more than 1% of the total number of shares

SCRIP CODE: 500672				QUARTER ENDED : 30.09.2011	
Sr.No.	Name of the shareholder	Number of shares	Shares as a % of total no. of shares (i.e. Grand total of (A)+(B)+(C) indicated in statement at para (I) (a) above)		
1	ATUL LIMITED	384660	1.20		
	TOTAL	384660	1.20		

(I) (d) Statement showing details of locked-in shares

SCRIP CODE: 500672		QUARTER ENDED : 30.09.2011		
Sr.No.	Name of the shareholder	Category of Shareholders Promoters/ Public	Number of locked-in shares	Locked-in shares as a percentage of total number of shares (i.e. Grand Total of (A)+(B)+(C) indicated in Statement at para (I)(a) above)
		NA		

(II) (a) Statement showing details of Depository Receipts (DRs)

SCRIP CODE: 500672				QUARTER ENDED: 30.09.2011	
Sr.No.	Type of outstanding DR (ADRs, GDRs, SDRs. Etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a % of total no. of shares (i.e. Grand Total of (A)+(B)+(C) indicated in Statement at para (I)(a) above)	
		NA			

(II) (b) Statement showing holding of Depository Receipts (DRs) where underlying shares held by "promoter/promoter group" are in excess of 1% of the total number of shares

SCRIP CODE: 500672				QUARTER ENDED: 30.09.2011	
Sr.No.	Name of the DR holder.	Type of outstanding DR (ADRs, GDRs, SDRs. etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a % of total no. of shares (i.e. Grand Total of (A)+(B)+(C) indicated in Statement at para (I)(a) above)	
		NA			