

NOVARTIS INDIA LIMITED  
SHAREHOLDING PATTERN AS ON 30.06.2012

(I) (a) Statement showing Shareholding Pattern

SCRIP CODE : 500672		Name of Scrip :NOVARTIS		Class of Security : Equity		Quarter Ended : 30.06.2012		
Category Code	<u>Partly paid up shares</u>			Number of partly paid up shares	As a % of total number of partly paid up shares	As a % of total number of shares of the Company		
	Held by Promoter/Promoter group							
	Held by Public							
	<b>Total</b>			<b>0</b>	<b>0.00</b>	<b>0.00</b>		
	<u>Outstanding Convertible Securities</u>			Number of outstanding Securities	As a % of total No. of outstanding Con. Securities	As a % of total No. of shares of the Company, assuming full conversion of the Securities		
	Held by Promoter/Promoter group							
	Held by Public							
	<b>Total</b>			<b>0</b>	<b>0.00</b>	<b>0.00</b>		
	<u>Warrants</u>			Number of warrants	As a % of total number of warrants	As a % of total number of shares of the Company assuming full conversion of warrants		
	Held by Promoter/Promoter group							
	Held by Public							
	<b>Total</b>			<b>0</b>	<b>0.00</b>	<b>0.00</b>		
<b>Total paid up capital of the Company, assuming full conversion of warrants and convertible securities</b>								
Category Code	Category of Shareholders	Number of Shareholders	Total No. of shares	Number of shares held in demat form	Total shareholding as a percentage of total number of shares		Shares pledged or otherwise encumbered	
					As a percentage of (A+B)	(A+B+C)	Number of Shares	As a %
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)=(VIII)/(IV)*100
(A)	<b>Shareholding of Promoter and Promoter Group<sup>2</sup></b>							
(1)	<b>Indian</b>							
(a)	Individuals/H.U.F	0	0	0	0.00	0.00		
(b)	Central/State Government(s)	0	0	0	0.00	0.00		
(c)	Bodies Corporate	0	0	0	0.00	0.00		
(d)	Financial Institutions/Banks	0	0	0	0.00	0.00		
(e)	Any Other (specify)	0	0	0	0.00	0.00		
	<b>Sub-Total (A)(1)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>		
(2)	<b>Foreign</b>							
(a)	Individuals(Non Resident Individuals/Foreign Individuals)	0	0	0	0.00	0.00		
(b)	Bodies Corporate	4	24424802	24424802	76.42	76.42		
(c)	Institutions	0	0	0	0.00	0.00		
(d)	Qualified Foreign Investor	0	0	0	0.00	0.00		
(e)	Any Other (specify)	0	0	0	0.00	0.00		
e-i		0	0	0	0.00	0.00		
	<b>Sub Total (A)(2)</b>	<b>4</b>	<b>24424802</b>	<b>24424802</b>	<b>76.42</b>	<b>76.42</b>		
	<b>Total holding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)</b>	<b>4</b>	<b>24424802</b>	<b>24424802</b>	<b>76.42</b>	<b>76.42</b>		
(B)	<b>Public Shareholding</b>							
1	<b>Institutions</b>							
(a)	Mutual Fund/UTI	13	322060	321387	1.01	1.01		
(b)	Financial Institutions/Banks	40	5242	3081	0.02	0.02		
(c)	Central/State Government(s)	0	0	0	0.00	0.00		
(d)	Venture Capital Funds	0	0	0	0.00	0.00		
(e)	Insurance Companies	3	291961	291961	0.91	0.91		
(f)	Foreign Institutional Investors	21	189790	189790	0.59	0.59		
(g)	Foreign Venture Cap. Investors	0	0	0	0.00	0.00		
(h)	Qualified Foreign Investor	0	0	0	0.00	0.00		
(i)	Any Other (specify)	0	0	0	0.00	0.00		
(i-ii)								
(i-ii)								
	<b>Sub-Total (B)(1)</b>	<b>77</b>	<b>809053</b>	<b>806219</b>	<b>2.53</b>	<b>2.53</b>		
B 2	<b>Non Institutions</b>							
(a)	Bodies Corporate	537	702053	698254	2.20	2.20		
(b)	Individuals				15.91	15.91		
I	Individual-i. Individual shareholders holding nominal share capital up to Rs. 1 lakh	39541	5083520	4240934	2.45	2.45		
II	ii) Individual shareholders holding nominal share capital in excess of Rs.1 lakh	15	782767	742217				
(c)	Qualified Foreign Investor							
(d)	Any Other(specify)							
(d-i)	NRI - Non Rep.	263	114303	111871	0.36	0.36		
(d-ii)	NRI - Rep.	212	42705	40955	0.13	0.13		
(d-iii)	Foreign National	0	0	0	0.00	0.00		
(d-iv)	Overseas Corporate Bodies	0	0	0	0.00	0.00		
(d-v)	Foreign Bodies	0	0	0	0.00	0.00		
(d-vi)	Trust	7	1594	1594	0.00	0.00		
(d-vii)	Clearing Member	0	0	0	0.00	0.00		
	<b>Sub-Total (B)(2)</b>	<b>40575</b>	<b>6726942</b>	<b>5835825</b>	<b>21.05</b>	<b>21.05</b>		
	Total Public shareholding (B)=(B)(1)+(B)(2)	40652	7535995	6642044	23.58	23.58		
	<b>TOTAL (A)+(B)</b>	<b>40656</b>	<b>31960797</b>	<b>31066846</b>	<b>100.00</b>	<b>100.00</b>		
(C)	<b>Shares held by Custodians and against which Depository Receipts have been issued</b>							
1	Promoter and Promoter Group							
2	Public							
	<b>Sub Total (C)</b>							
	<b>GRAND TOTAL (A)+(B)+(C)</b>	<b>40656</b>	<b>31960797</b>	<b>31066846</b>	<b>100.00</b>	<b>100.00</b>		

(I) (b) Statement showing holding of securities (including shares,warrants,convertible securities) of persons belonging to the category "Promoter and Promoter Group"											
Sr.No.	Name of the shareholder	Details of Shares held		Encumbered shares(*)			Details of warrants		Details of convertible		Total shares (including underlying shares assuming full conversion of warrants and convertible securities as a % of diluted share capital)
		No of Shares held	As a % of grand total (A)+(B)+(C)	Number	As a Percentage	As a % of grand total (A)+(B)+(C) of sub-clause (I)(a)	No of warrants held	As a % of total number of warrants of the same class	Number of convertible securities held	As a % of total number of convertible securities of the same class	
( I )	( II )	( III )	( IV )	( V )	( VI ) = (V)/(III)*100	( VII )	(VIII)	(IX)	(X)	(XI)	(XII)
1	NOVARTIS AG	10624650	33.24	0	0.00	0.00	N. A.	N. A.	N. A.	N. A.	33.24
2	NOVARTIS AG	8147365	25.49	0	0.00	0.00	N. A.	N. A.	N. A.	N. A.	25.49
3	NOVARTIS AG	2921800	9.14	0	0.00	0.00	N. A.	N. A.	N. A.	N. A.	9.14
4	NOVARTIS AG	2730987	8.54	0	0.00	0.00	N. A.	N. A.	N. A.	N. A.	8.54
<b>TOTAL</b>		<b>24424802</b>	<b>76.42</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>					<b>76.42</b>

(\*) The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations , 2011

(I)(C)(I) Statement showing holding of securities (including shares,warrants,convertible securities) of persons belonging to the category								
Sr.No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)	Details of warrants		Details of convertible		Total shares (including underlying shares assuming full conversion of warrants and convertible securities as a % of diluted share capital)
				No of warrants held	As a % of total number of warrants of the same class	Number of convertible securities held	As a % of total number of convertible securities of the same class	
1	ATUL LIMITED	384660	1.20	N. A.	N. A.	N. A.	N. A.	1.20
<b>TOTAL</b>		<b>384660</b>	<b>1.20</b>					<b>1.20</b>

(I)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company								
Sr.No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)	Details of warrants		Details of convertible		Total shares (including underlying shares assuming full conversion of warrants and convertible securities as a % of diluted share capital)
				No of warrants held	As a % of total number of warrants of the same class	Number of convertible securities held	As a % of total number of convertible securities of the same class	
	N. A.			N. A.	N. A.	N. A.	N. A.	N. A.
<b>TOTAL</b>								

(1) (d) Statement showing details of locked in shares			
Sr. No.	Name of shareholder	No. of locked-in shares	Locked-in shares as percentage of total no. of shares (i.e. Grand Total(A)+(B)+C) indicated in statement at para(1)(a) above)
	N. A.		
<b>TOTAL</b>			

(II) (a) Statement showing details of Depository Receipts (DRS)				
Sr. No.	Type of outstanding Dr(ADRs, GDRs, SDRs, etc)	No. of DRs	No. of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total no. of shares (i.e. Grand Total (A)+(B)+C) indicated in Statement at para (1)(a)above)
	N. A.			
<b>TOTAL</b>				

(II) (b) Statement showing holding of Depository Receipts (DRs) where underlying shares are in excess of 1% of				
Sr. No.	Name of the DR Holder	Type of outstanding DR(ADRs, GDRs, SDRs, etc.)	No. of shares underlying outstanding DRs.	Shares underlying outstanding DRs as a percentage of total no. of shares (i.e. Grand Total (A)+(B)+C) indicated in Statement at para (1)(a)above)
	N. A.			
<b>TOTAL</b>				