

NOVARTIS INDIA LIMITED
SHAREHOLDING PATTERN AS ON 31.03.2011

(I) (a) Statement showing Shareholding Pattern

SCRIP CODE : 500672		Name of Scrip :NOVARTIS		Class of Security : Equity		Quarter Ended : 31.03.2011		
Category Code	Partly paid up shares			Number of partly paid up shares	As a % of total number of partly paid up shares	As a % of total number of shares of the Company		
	Held by Promoter/Promoter group							
	Held by Public							
	Total			0	0.00	0.00		
	Outstanding Convertible Securities			Number of outstanding Securities	As a % of total No. of outstanding Con. Securities	As a % of total No. of shares of the Company, assuming full conversion of the Securities		
	Held by Promoter/Promoter group							
	Held by Public							
	Total			0	0.00	0.00		
	Warrants			Number of warrants	As a % of total number of warrants	As a % of total number of shares of the Company assuming full conversion of warrants		
	Held by Promoter/Promoter group							
	Held by Public							
	Total			0	0.00	0.00		
	Total paid up capital of the Company, assuming full conversion of warrants and convertible securities							
Category Code	Category of Shareholders	Number of Shareholders	Total No. of shares	Number of shares held in demat form	Total shareholding as a percentage of total number of shares		Shares pledged or otherwise encumbered	
					As a percentage of (A+B) ¹ (VI)	(A+B+C) (VII)	Number of Shares (VIII)	As a % (IX)=(VIII)/(IV)*100
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)
(A)	Shareholding of Promoter and Promoter Group²							
(1)	Indian							
(a)	Individuals/H.U.F							
(b)	Central/State Government(s)							
(c)	Bodies Corporate							
(d)	Financial Institutions/Banks							
(e)	Any Other (specify)							
	Sub-Total (A)(1)	0	0	0	0.00	0.00		
(2)	Foreign							
(a)	Non Resident Individuals/Foreign Nationals							
(b)	Bodies Corporate	6	24424802	8097650	76.42	76.42		
(c)	Institutions							
(d)	Any Other (specify)							
	Sub Total (A)(2)	6	24424802	8097650	76.42	76.42	N.A	N.A
	Total holding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)	6	24424802	8097650	76.42	76.42	N.A	N.A
(B)	Public Shareholding³							
(1)	Institutions							
(a)	Mutual Fund/UTI	8	106739	106146	0.33	0.33		
(b)	Financial Institutions/Banks	39	5036	2875	0.02	0.02		
(c)	Central/State Government(s)							
(d)	Venture Capital Funds							
(e)	Insurance Companies	4	309578	309578	0.97	0.97		
(f)	Foreign Institutional Investors	19	135781	135701	0.42	0.42		
(g)	Foreign Venture Cap. Inv							
	Sub-Total (B)(1)	70	557134	554300	1.74	1.74		

(2)	Non Institutions								
(a)	Bodies Corporate	491	822138	818109	2.57	2.57			
(b)	Individuals								
	i) Holding nominal share capital upto Rs. 1 lakh	40699	5133387	4219718	16.06	16.06			
	ii) Holding nominal share capital in excess of Rs. 1 lakh	17	847438	806888	2.65	2.65			
(c)	Any Other(specify)								
	Overseas Corporate Bodies								
	Non Resident Individuals	243	122799	120351	0.38	0.38			
	Non Resident (REP)	224	51855	50336	0.16	0.16			
	Trusts	7	1244	1244	0.00	0.00			
	Any Other								
	Sub-Total (B)(2)	41681	6978861	6016646	21.84	21.84			
	Total Public shareholding (B)=(B)(1)+(B)(2)	41751	7535995	6570946	23.58	23.58			
	TOTAL (A)+(B)	41757	31960797	14668596	100.00	100.00			
(C)	Shares held by Custodians and against which Depository Receipts have been issued								
1	Promoter and Promoter Group								
2	Public								
	GRAND TOTAL (A)+(B)+(C)	41757	31960797	14668596	100.00	100.00			

(1) (b) Statement showing shareholding of persons belonging to the category "Promoter and Promoter Group"						
Sr.No.	Name of the shareholder	Total shares held		Shares pledged or otherwise		
		Number	As a % of grand total (A)+(B)+(C)	Number	As a Percentage (VI) = (V)/(III)*100	As a % of grand total (A)+(B)+(C) OF SUB-Clause (I)(a) (VII)
(I)	(II)	(III)	(IV)	(V)		
1	NOVARTIS AG	10624650	33.24			
2	NOVARTIS AG	8097650	25.34			
3	NOVARTIS AG	2921800	9.14			
4	NOVARTIS AG	2700000	8.45			
5	NOVARTIS AG	49715	0.16			
6	NOVARTIS AG	30987	0.10			
	TOTAL	24424802	76.42			

(1) (c) Statement showing shareholding of persons belonging to the category "Public" and			
Sr. No.	Name of the shareholder	No. of shares	shares (i.e.Grand Total(A)+(B)+C)
1	ATUL LIMITED	384660	1.20
	TOTAL	384660	1.20

(1) (d) Statement showing details of locked in shares				
Sr. No.	Name of shareholder	Category of shareholders (Promoters/public)	No. of locked-in shares	percentage of total no. of shares (i.e.Grand Total(A)+(B)+C) indicated in statement at para(1)(a) above}
		NA		
	TOTAL			

(II) (a) Statement showing details of Depository Receipts (DRS)				
Sr. No.	Type of outstanding Dr(ADRs, GDRs, SDRs, etc)	No. of shares	No. of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total no. of shares (I.e. Grand Total (A)+(B)+C) indicated in
NA				
TOTAL				

(II) (b) Statement showing holding of Depository Receipts (DRs) where underlying shares are in excess of 1% of the total no.of shares				
Sr. No.	Name of the DR Holder	Type of outstanding DR(ADRs, GDRs, SDRs, etc.)	No. of shares underlying outstanding DRs.	Shares underlying outstanding DRs as a percentage of total no. of shares (I.e. Grand Total (A)+(B)+C) indicated in
NA				
TOTAL				