

NOVARTIS INDIA LIMITED

Class of Securities: Equity Shares

Scrip Code: 500672

Statement Showing Shareholding pattern as on 30.09.2010

Category Code	Category of Shareholders	Number of Share-holders	Total No. of shares	Number of shares held in demated form	Total shareholding as a percentage of total number of shares		Shares pledged or otherwise encumbered	
					As a percentage of		Number of Shares	As a %
					(A+B) ¹	(A+B+C)		
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)=(VIII)/(IV)*100
(A)	Shareholding of Promoter and Promoter Group2							
(1)	Indian							
(a)	Individuals/H.U.F							
(b)	Central/State Government(s)							
(c)	Bodies Corporate							
(d)	Financial Institutions/Banks							
(e)	Trust							
	Sub-Total (A)(1)	0	0	0	0.00	0.00		
(2)	Foreign							
(a)	Individuals/ Foreign Individual							
(b)	Bodies Corporate	6	24424802	8097650	76.42	76.42		
(c)	Institutions / FII							
(d)	Any Other (specify)							
	Sub-Total (A)(2)	6	24424802	8097650	76.42	76.42	N.A	N.A
	Total holding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)	6	24424802	8097650	76.42	76.42	N.A	N.A
(B)	Public Shareholding							
(1)	Institutions							
(a)	Mutual Fund/UTI	7	18793	18200	0.06	0.06		
(b)	Financial Institutions/Banks	47	6279	3585	0.02	0.02		
(c)	Central/State Government(s)							
(d)	Venture Capital Funds							
(e)	Insurance Companies	3	293933	293933	0.92	0.92		
(f)	Foreign Institutional Investors	16	89656	89576	0.28	0.28		
(g)	Foreign Venture Cap. Inv							
(h)	Foreign National / Bodies	0	0	0	0.00	0.000		
	Sub-Total (B)(1)	73	408661	405294	1.28	1.28		
(2)	Non Institutions							
(a)	Bodies Corporate	570	844509	840360	2.64	2.64		
(b)	Individuals							
	i) Holding nominal share capital upto Rs. 1 lakh	40820	5135930	4189899	16.07	16.07		
	ii) Holding nominal share capital in excess of Rs. 1 lakh	20	973145	932595	3.04	3.04		
(c)	<u>Any Other(specify):</u>							
	Overseas Corporate Bodies							
	Non Resident Individuals (Non-REP)	232	122325	119877	0.38	0.38		
	Non Resident (REP)	212	50181	48662	0.16	0.16		
	Trusts	7	1244	1244	0.00	0.00		
	Any Other							
	Sub-Total (B)(2)	41861	7127334	6132637	22.30	22.30		
	Total Public shareholding (B)=(B)(1)+(B)(2)	41934	7535995	6537931	23.58	23.58		
	TOTAL (A)+(B)	41940	31960797	14635581	100.00	100.00		
(C)	Shares held by Custodians and against which Depository Receipts have been issued							
1	Promoter and Promoter Group							
2	Public							
	GRAND TOTAL (A)+(B)+(C)	41940	31960797	14635581	100.00	100.00		

NOVARTIS INDIA LIMITED

(I) (b) Statement showing Shareholding of Persons belonging to the category "Promoter and Promoter Group"

Sr.No.	Name of the shareholder	Total Number of shares held	Shares as a percentage of total number of shares (i.e. Grand total of (A)+(B)+(C) indicated in statement at para (I) (a) above)	Shares pledged or otherwise encumbered		
				Number of Shares	As a %	As a % of grand total (A+B+C) sub-clause (1)(a)
1	NOVARTIS AG	10624650	33.24			
2	NOVARTIS AG	8097650	25.34			
3	NOVARTIS AG	2921800	9.14			
4	NOVARTIS AG	2700000	8.45			
5	NOVARTIS AG	49715	0.15			
6	NOVARTIS AG	30987	0.10			
	TOTAL	24424802	76.42			

(I) (c) Statement showing Shareholding of persons belonging to the category "Public" and holding more than 1% of the total number of shares

Sr.No.	Name of the shareholder	Number of shares	Shares as a percentage of total number of shares (i.e. Grand total of (A)+(b)+(C) indicated in statement at para (I)(a) above)
1	ATUL LIMITED	384660	1.20
	TOTAL	384660	1.20

(I) (d) Statement showing details of locked-in shares

Sr.No.	Name of the shareholder	Category of Shareholders Promoters/ Public	Number of locked-in shares	Locked-in shares as a percentage of total number of shares (i.e. Grand Total of (A)+(B)+(C) indicated in Statement at para (I)(a) above)
		NA		

(II) (a) Statement showing details of Depository Receipts (DRs)

Sr.No.	Type of outstanding DR (ADRs, GDRs, SDRs. Etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a % of total no. of shares (i.e. Grand Total of (A)+(B)+(C) indicated in Statement at para (I)(a) above)
		NA		

(II) (b) Statement showing holding of Depository Receipts (DRs) where underlying shares held by "promoter/promoter group" are in excess of 1% of the total number of shares

Sr.No.	Name of the DR holder.	Type of outstanding DR (ADRs, GDRs, SDRs. etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a % of total no. of shares (i.e. Grand Total of (A)+(B)+(C) indicated in Statement at para (I)(a) above)
		NA		