

**NOVARTIS INDIA LIMITED**  
**SHAREHOLDING PATTERN AS ON 31.12.2010**

**(I) (a) Statement showing Shareholding Pattern**

SCRIP CODE : 500672		NAME OF SCRIP : NOVARTIS		CLASS OF SECURITY : EQUITY		QUARTER ENDED : 31.12.2010		
Category Code	<u>Partly paid up shares</u>			Number of partly paid up shares	As a % of total number of partly paid up shares	As a % of total number of shares of the Company		
	Held by Promoter/Promoter group							
	Held by Public							
	<b>Total</b>			<b>0</b>	<b>0.00</b>	<b>0.00</b>		
	<u>Outstanding Convertible Securities</u>			Number of outstanding Securities	As a % of total No. of outstanding Con. Securities	As a % of total No. of Shares of the Company, assuming full conversion of the Securities		
	Held by Promoter/Promoter group							
	Held by Public							
	<b>Total</b>			<b>0</b>	<b>0.00</b>	<b>0.00</b>		
	<u>Warrants</u>			Number of warrants	As a % of total number of warrants	As a % of total No. of Shares of the Company, assuming full conversion of the Securities		
	Held by Promoter/Promoter group							
	Held by Public							
	<b>Total</b>			<b>0</b>	<b>0.00</b>	<b>0.00</b>		
<b>Total paid up capital</b> of the Company, assuming full conversion of warrants and convertible securities								
Category Code	Category of Shareholders	Number of Share-holders	Total No. of shares	Number of shares held in demated form	Total shareholding as a percentage of total number of shares		Shares pledged or otherwise encumbered	
					As a percentage of (A+B) <sup>1</sup>	(A+B+C)	Number of Shares	As a %
( I )	( II )	( III )	( IV )	( V )	( VI )	( VII )	( VIII )	( IX )=(VIII)/(IV)*100
<b>(A)</b>	<b>Shareholding of Promoter and Promoter Group</b>							
<b>(1)</b>	<b>Indian</b>							
(a)	Individuals/H.U.F							
(b)	Central/State Government(s)							
(c)	Bodies Corporate							
(d)	Financial Institutions/Banks							
(e)	Any Other (specify)							
	<b>Sub-Total (A)(1)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>		
<b>(2)</b>	<b>Foreign</b>							
(a)	Non Resident Individuals/Foreign Nationals							
(b)	Bodies Corporate	6	24424802	8097650	76.42	76.42		
(c)	Institutions							
(d)	Any Other (specify)							
	<b>Sub-Total (A)(2)</b>	<b>6</b>	<b>24424802</b>	<b>8097650</b>	<b>76.42</b>	<b>76.42</b>	<b>N.A</b>	<b>N.A</b>
	<b>Total holding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)</b>	<b>6</b>	<b>24424802</b>	<b>8097650</b>	<b>76.42</b>	<b>76.42</b>	<b>N.A</b>	<b>N.A</b>
<b>(B)</b>	<b>Public Shareholding</b>							
<b>(1)</b>	<b>Institutions</b>							
(a)	Mutual Fund/UTI	7	18793	18200	0.06	0.06		
(b)	Financial Institutions/Banks	40	5686	3525	0.02	0.02		
(c)	Central/State Government(s)							
(d)	Venture Capital Funds							
(e)	Insurance Companies	4	309955	309955	0.97	0.97		
(f)	Foreign Institutional Investors	18	122228	122148	0.38	0.38		
(g)	Foreign Venture Cap. Inv							
	<b>Sub-Total (B)(1)</b>	<b>69</b>	<b>456662</b>	<b>453828</b>	<b>1.43</b>	<b>1.43</b>		
<b>(2)</b>	<b>Non Institutions</b>							
(a)	Bodies Corporate	533	877403	873254	2.75	2.75		
(b)	Individuals							
	i) Holding nominal share capital upto Rs. 1 lakh	40749	5127492	4201069	16.04	16.04		
	ii) Holding nominal share capital in excess of Rs. 1 lakh	19	898438	857888	2.81	2.81		
(c)	<b>Any Other(specify):</b>							
	Overseas Corporate Bodies							
	Non Resident Individuals	238	121926	119478	0.38	0.38		
	Non Resident (REP)	223	52530	51011	0.16	0.16		
	Trusts	8	1544	1544	0.00	0.00		
	Any Other							
	<b>Sub-Total (B)(2)</b>	<b>41770</b>	<b>7079333</b>	<b>6104244</b>	<b>22.15</b>	<b>22.15</b>		
	<b>Total Public shareholding (B)=(B)(1)+(B)(2)</b>	<b>41839</b>	<b>7535995</b>	<b>6558072</b>	<b>23.58</b>	<b>23.58</b>		
	<b>TOTAL (A)+(B)</b>	<b>41845</b>	<b>31960797</b>	<b>14655722</b>	<b>100.00</b>	<b>100.00</b>		
<b>(C)</b>	<b>Shares held by Custodians and against which Depository Receipts have been issued</b>							
1	Promoter and Promoter Group							
2	Public							
	<b>GRAND TOTAL (A)+(B)+(C)</b>	<b>41845</b>	<b>31960797</b>	<b>14655722</b>	<b>100.00</b>	<b>100.00</b>		

**NOVARTIS INDIA LIMITED**

**(I) (b) Statement showing Shareholding of Persons belonging to the category "Promoter and Promoter Group"**

SCRIP CODE : 500672				QUARTER ENDED: 31.12.2010		
Sr.No.	Name of the shareholder	Total Number of shares Held	Shares as a percentage of total number of shares (i.e. Grand total of (A)+(B)+(C) indicated in statement at para (I) (a) above)	Shares pledged or otherwise encumbered		
				Number of Shares	As a %	As a % of grand total (A+B+C) sub-clause (1)(a)
1	NOVARTIS AG	10624650	33.24			
2	NOVARTIS AG	8097650	25.34			
3	NOVARTIS AG	2921800	9.14			
4	NOVARTIS AG	2700000	8.45			
5	NOVARTIS AG	49715	0.15			
6	NOVARTIS AG	30987	0.10			
	<b>TOTAL</b>	<b>24424802</b>	<b>76.42</b>			

**(I) (c) Statement showing Shareholding of persons belonging to the category "Public" and holding more than 1% of the total number of shares**

SCRIP CODE : 500672		Quarter ended 31.12.2010	
Sr.No.	Name of the shareholder	Number of shares	Shares as a percentage of total number of shares (i.e. Grand total of (A)+(B)+(C) indicated in statement at para (I)(a) above)
1	ATUL LIMITED	384660	1.20
	<b>TOTAL</b>	<b>384660</b>	<b>1.20</b>

**(I) (d) Statement showing details of locked-in shares**

SCRIP CODE : 500672		QUARTER ENDED: 31.12.2010		
Sr.No.	Name of the shareholder	Category of Shareholders Promoters/ Public	Number of locked-in shares	Locked-in shares as a percentage of total number of shares (A)+(B)+(C) indicated in Statement at para (I)(a) above)
		NA		

**(II) (a) Statement showing details of Depository Receipts (DRs)**

SCRIP CODE : 500672		QUARTER ENDED: 31.12.2010		
Sr.No.	Type of outstanding DR (ADRs, GDRs, SDRs. Etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying o/s DRs as % of total no. of shares (Grand Total of (A)+(B)+(C) indicated in Statement at para (I)(a) above)
		NA		

**(II) (b) Statement showing holding of Depository Receipts (DRs) where underlying shares held by "promoter/promoter group" are in excess of 1% of the total number of shares**

SCRIP CODE : 500672		QUARTER ENDED: 31.12.2010		
Sr.No.	Name of the DR holder.	Type of outstanding DR (ADRs, GDRs, SDRs. etc.)	Number of shares underlying outstanding DRs	Shares underlying o/s DRs as % of total no. of shares (Grand Total of (A)+(B)+(C) indicated in Statement at para (I)(a) above)
		NA		