

NOVARTIS INDIA LIMITED
SHAREHOLDING PATTERN AS ON 31.12.2011

(I) (a) Statement showing Shareholding Pattern

SCRIP CODE : 500672		Name of Scrip : NOVARTIS		Class of Security : Equity		Quarter Ended : 31.12.2011		
Category Code	Partly paid up shares			Number of partly paid up shares	As a % of total number of partly paid up shares	As a % of total number of shares of the Company		
	Held by Promoter/Promoter group							
	Held by Public							
	Total			0	0.00	0.00		
	Outstanding Convertible Securities			Number of outstanding Securities	As a % of total No. of outstanding Con. Securities	As a % of total No. of shares of the Company, assuming full conversion of the Securities		
	Held by Promoter/Promoter group							
	Held by Public							
	Total			0	0.00	0.00		
	Warrants			Number of warrants	As a % of total number of warrants	As a % of total number of shares of the Company assuming full conversion of warrants		
	Held by Promoter/Promoter group							
	Held by Public							
	Total			0	0.00	0.00		
Total paid up capital of the Company, assuming full conversion of warrants and convertible securities								
Category Code	Category of Shareholders	Number of Shareholders	Total No. of shares	Number of shares held in demated form	Total shareholding as a percentage of total number of shares As a percentage of		Shares pledged or otherwise encumbered	
					(A+B) ¹	(A+B+C)	Number of Shares	As a %
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)=(VIII)/(IV)*100
(A)	Shareholding of Promoter and Promoter Group²							
(1)	Indian							
(a)	Individuals/H.U.F	0	0	0	0.00	0.00		
(b)	Central/State Government(s)	0	0	0	0.00	0.00		
(c)	Bodies Corporate	0	0	0	0.00	0.00		
(d)	Financial Institutions/Banks	0	0	0	0.00	0.00		
(e)	Any Other (specify)	0	0	0	0.00	0.00		
	Employee Welfare Trust	0	0	0	0.00	0.00		
	M&M Benefit Trust	0	0	0	0.00	0.00		
	Sub-Total (A)(1)	0	0	0	0.00	0.00		
(2)	Foreign							
(a)	Nationals				0.00	0.00		
(b)	Bodies Corporate	4	24424802	24424802	76.42	76.42		
(c)	Institutions				0.00	0.00		
(d)	Any Other (specify)				0.00	0.00		
	Sub-Total (A)(2)	4	24424802	24424802	76.42	76.42		
	Total holding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)	4	24424802	24424802	76.42	76.42		
(B)	Public Shareholding³							
(1)	Institutions							
(a)	Mutual Fund/UTI	11	300317	299644	0.94	0.94		
(b)	Financial Institutions/Banks	40	5516	3355	0.02	0.02		
(c)	Central/State Government(s)				0.00	0.00		
(d)	Venture Capital Funds				0.00	0.00		
(e)	Insurance Companies	3	291961	291961	0.91	0.91		
(f)	Foreign Institutional Investors	20	205912	205912	0.64	0.64		
(g)	Foreign Venture Cap. Inv				0.00	0.00		
	Sub-Total (B)(1)	74	803706	800872	2.51	2.51		
(2)	Non Institutions							
(a)	Bodies Corporate	469	704798	700579	2.21	2.21		
(b)	Individuals							
	upto Rs. 1 lakh	39454	5088319	4222080	15.92	15.92		
	excess of Rs. 1 lakh	15	782767	742217	2.45	2.45		
(c)	Any Other(specify)				0.00	0.00		
	Overseas Corporate Bodies	0	0	0	0.00	0.00		
	Non Resident Individuals (Non-Rep)	251	112610	110162	0.35	0.35		
	Non Resident (Rep)	208	42851	41035	0.13	0.13		
	Trusts	6	944	944	0.00	0.00		
	Sub-Total (B)(2)	40403	6732289	5817017	21.06	21.06		
	(B)=(B)(1)+(B)(2)	40477	7535995	6617889	23.58	23.58		
	TOTAL (A)+(B)	40481	31960797	31042691	100.00	100.00		
(C)	against which Depository Receipts have been issued							
1	Promoter and Promoter Group							
2	Public							
	GRAND TOTAL (A)+(B)+(C)	40481	31960797	31042691	100.00	100.00		

(I) (b) Statement showing holding of securities (including shares,warrants,convertible securities) of persons belonging to the category "Promoter and Promoter Group"

Sr.No.	Name of the shareholder	Details of Shares held		Encumbered shares(*)			Details of warrants		Details of convertible		Total shares (including underlying shares assuming full conversion of warrants)
		No of Shares held	As a % of grand total (A)+(B)+(C)	Number	As a Percentage	As a % of grand total (A)+(B)+(C) of sub-clause (I)(a)	No of warrants held	As a % of total number of warrants of the same class	Number of convertible securities held	As a % of total number of convertible securities of the same class	
(I)	(II)	(III)	(IV)	(V)	(VI) = (V)/(III)*100	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
1	NOVARTIS AG	10624650	33.24	0	0.00	0.00	N. A.	N. A.	N. A.	N. A.	33.24
2	NOVARTIS AG	2921800	9.14	0	0.00	0.00	N. A.	N. A.	N. A.	N. A.	9.14
3	NOVARTIS AG	2730987	8.54	0	0.00	0.00	N. A.	N. A.	N. A.	N. A.	8.54
4	NOVARTIS AG	8147365	25.49	0	0.00	0.00	N. A.	N. A.	N. A.	N. A.	25.49
TOTAL		24424802	76.42	0	0.00	0.00					76.42

(*) The term "encumbrance" has the same meaning as assigned to it in refulation 28(3) of the SAST Regulations , 2011

(II)(c)(i) Statement showing holding of securities (including shares,warrants,convertible securities) of persons belonging to the category "Public"

Sr.No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in	Details of warrants		Details of convertible		Total shares (including underlying shares assuming full conversion of warrants and convertible securities as a %
				No of warrants held	As a % of total number of warrants of the same class	Number of convertible securities held	As a % of total number of convertible securities of the same class	
1	ATUL LIMITED	384660	1.20	N. A.	N. A.	N. A.	N. A.	1.20
TOTAL		384660	1.20					1.20

Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with (II)(c)(ii) PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company

Sr.No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in	Details of warrants		Details of convertible		Total shares (including underlying shares assuming full conversion of warrants and convertible securities as a %
				No of warrants held	As a % of total number of warrants of the same class	Number of convertible securities held	As a % of total number of convertible securities of the same class	
	N. A.			N. A.	N. A.	N. A.	N. A.	N. A.
TOTAL								

(1) (d) Statement showing details of locked in shares

Sr. No.	Name of shareholder	No. of locked-in shares	Locked-in shares as percentage of total no. of shares (i.e. Grand Total(A)+(B)+C) indicated in statement at para(1)(a) above)
	N. A.		
TOTAL			

(II) (a) Statement showing details of Depository Receipts (DRS)

Sr. No.	Type of outstanding Dr(ADRs, GDRs, SDRs, etc)	No. of DRs	No. of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total no. of shares (i.e. Grand Total (A)+(B)+C) indicated in
	N. A.			
TOTAL				

(II) (b) Statement showing holding of Depository Receipts (DRs) where underlying shares are in

Sr. No.	Name of the DR Holder	Type of outstanding DR(ADRs, GDRs, SDRs, etc.)	No. of shares underlying outstanding DRs.	Shares underlying outstanding DRs as a percentage of total no. of shares (i.e. Grand Total (A)+(B)+C) indicated in
	N. A.			
TOTAL				