

General information about company	
Scrip code	500672
Name of the company	Novartis India Limited
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2017
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

Sr. No.	Particular	Yes/No
1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No
3	Whether the Listed Entity has issued any Warrants ?	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No
5	Whether the Listed Entity has any shares in locked-in?	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No
7	Whether company has equity shares with differential voting rights?	No

Table I - Summary Statement holding of specified securities											
Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg: y	Total	
(A)	Promoter & Promoter Group	1	20656042			20656042	73.4	20656042		20656042	73.4
(B)	Public	45248	7484755			7484755	26.6	7484755		7484755	26.6
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	45249	28140797			28140797	100	28140797		28140797	100

Table I - Summary Statement holding of specified securities										
Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				73.4					20656042
(B)	Public				26.6					6815000
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100					27471042

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group											
Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(2)	Foreign										
(e)	Any Other (specify)	1	20656042			20656042	73.4	20656042		20656042	73.4
Sub-Total (A)(2)		1	20656042			20656042	73.4	20656042		20656042	73.4
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		1	20656042			20656042	73.4	20656042		20656042	73.4
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(a)	Mutual Funds	6	790			790	0	790		790	0
(e)	Foreign Portfolio Investors	3	234			234	0	234		234	0
(f)	Financial Institutions/ Banks	40	287228			287228	1.02	287228		287228	1.02
(i)	Any Other (specify)	2	83			83	0	83		83	0
Sub-Total (B)(1)		51	288335			288335	1.02	288335		288335	1.02
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	42749	5585405			5585405	19.85	5585405		5585405	19.85
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	3	285982			285982	1.02	285982		285982	1.02
(e)	Any Other (specify)	2445	1325033			1325033	4.71	1325033		1325033	4.71
Sub-Total (B)(3)		45197	7196420			7196420	25.57	7196420		7196420	25.57
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		45248	7484755			7484755	26.6	7484755		7484755	26.6
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		45249	28140797			28140797	100	28140797		28140797	100
Total (A+B+C)		45249	28140797			28140797	100	28140797		28140797	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group									
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(2)	Foreign								
(e)				73.4					20656042
Sub-Total (A) (2)				73.4					20656042
Total Shareholding of Promoter and Promoter Group (A)=(A) (1)+(A)(2)				73.4					20656042
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(a)				0					200
(e)				0					234
(f)				1.02					285137
(i)				0					0
Sub-Total (B) (1)				1.02					285571
(3)	Non-institutions								
(a(i))				19.85					4921750
(a(ii))				1.02					285982
(e)				4.71					1321697
Sub-Total (B) (3)				25.57					6529429
Total Public Shareholding (B)=(B)(1)+(B) (2)+(B)(3)				26.6					6815000
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total (A+B+C2)				100					27471042
Total (A+B+C)				100					27471042

Any Other (specify)		
Serial No.	1	
Category	Bodies Corporate	
Name of the Shareholders (I)	Novartis AG	Click here to go back
PAN (II)	AAACN4932F	Total
No. of the Shareholders (I)	1	1
No. of fully paid up equity shares held (IV)	20656042	20656042
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	20656042	20656042
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	73.4	73.4
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	20656042	20656042
Class eg:y		
Total	20656042	20656042
Total as a % of Total Voting rights	73.4	73.4
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	73.4	73.4
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		0
Number of Shares pledged or otherwise encumbered (XIII)		
No. (a)		
As a % of total Shares held (b)		0
Number of equity shares held in dematerialized form (XIV)	20656042	20656042

Reason for not providing PAN		
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Any Other (specify)		
Serial No.	1	
Category	Other	
Category / More than 1 percentage	Category	Click here to go back
Name of the Shareholders (I)	UTI	
PAN (II)		Total
No. of the Shareholders (I)	2	2
No. of fully paid up equity shares held (IV)	83	83
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	83	83
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0	0
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	83	83
Class eg:y		
Total	83	83
Total as a % of Total Voting rights	0	0
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and Warrants (X)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	0	0
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	0	0
Reason for not providing PAN		
Reason for not providing PAN		

Any Other (specify)							
Serial No.	1	2	3	4	5	6	7
Category	Trusts	Foreign Individuals	HUF	NRI – Non-Repatriation	Director or Director's Relatives	NRI – Repatriation	Clearing Members
Category / More than 1 percentage	Category	Category	Category	Category	Category	Category	Category
Name of the Shareholders (I)							
PAN (II)							
No. of the Shareholders (I)	5	1	1126	374	1	283	167
No. of fully paid up equity shares held (IV)	899	54	238979	157371	220	130960	71297
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	899	54	238979	157371	220	130960	71297
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0	0	0.85	0.56	0	0.47	0.25
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	899	54	238979	157371	220	130960	71297
Class eg:y							
Total	899	54	238979	157371	220	130960	71297
Total as a % of Total Voting rights	0	0	0.85	0.56	0	0.47	0.25
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	0	0	0.85	0.56	0	0.47	0.25
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	899	54	238979	155119	220	129876	71297
Reason for not providing PAN							

Reason for not providing PAN								
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Any Other (specify)			
Serial No.	8	9	
Category	Bodies Corporate	Bodies Corporate	
Category / More than 1 percentage	Category	More than 1 percentage of shareholding	
Name of the Shareholders (I)		Atul Limited	Click here to go back
PAN (II)		AABCA2390M	Total
No. of the Shareholders (I)	488	1	2445
No. of fully paid up equity shares held (IV)	725253	374627	1325033
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	725253	374627	1325033
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.58	1.33	4.71
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	725253	374627	1325033
Class eg:y			
Total	725253	374627	1325033
Total as a % of Total Voting rights	2.58	1.33	4.71
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	2.58	1.33	4.71
Number of Locked in shares (XII)			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	725253	374627	1321697
Reason for not providing PAN			
Reason for not providing PAN			

