

General information about company	
Scrip code	500672
Name of the company	Novartis India Limited
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Capital Restructuring
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	06-02-2018
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	29-01-2018
Shareholding pattern filed under	Regulation 31 (1) (c)

Sr. No.	Particular	Yes/No
1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No
3	Whether the Listed Entity has issued any Warrants ?	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No
5	Whether the Listed Entity has any shares in locked-in?	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No
7	Whether company has equity shares with differential voting rights?	No

Table I - Summary Statement holding of specified securities											
Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	1	17450680			17450680	70.68	17450680		17450680	70.68
(B)	Public	40328	7240117			7240117	29.32	7240117		7240117	29.32
(C)	Non Promoter-Non Public	0	0			0		0		0	0
(C1)	Shares underlying DRs	0	0			0		0		0	0
(C2)	Shares held by Employee Trusts	0	0			0	0	0		0	0
	Total	40329	24690797			24690797	100	24690797		24690797	100

Table I - Summary Statement holding of specified securities										
Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				70.68					17450680
(B)	Public				29.32					6676165
(C)	Non Promoter-Non Public									0
(C1)	Shares underlying DRs									0
(C2)	Shares held by Employee Trusts				0					0
	Total				100					24126845

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			Total as a % of Total Voting rights
								Class eg: X	Class eg: y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(2)	Foreign										
(e)	Any Other (specify)	1	17450680			17450680	70.68	17450680		17450680	70.68
Sub-Total (A) (2)		1	17450680			17450680	70.68	17450680		17450680	70.68
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		1	17450680			17450680	70.68	17450680		17450680	70.68
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(a)	Mutual Funds	5	760			760	0	760		760	0
(b)	Venture Capital Funds	0	0			0	0	0		0	0
(c)	Alternate Investment Funds	0	0			0	0	0		0	0
(d)	Foreign Venture Capital Investors	0	0			0	0	0		0	0
(e)	Foreign Portfolio Investors	1	98			98	0	98		98	0
(f)	Financial Institutions/ Banks	20	285746			285746	1.16	285746		285746	1.16
(g)	Insurance Companies	0	0			0	0	0		0	0
(h)	Provident Funds/ Pension Funds	0	0			0	0	0		0	0
(i)	Any Other (specify)	0	0			0	0	0		0	0
Sub-Total (B) (1)		26	286604			286604	1.16	286604		286604	1.16
(2)	Central Government/ State Government (s)/ President of India	1	99509			99509	0.4	99509		99509	0.4
Sub-Total (B) (2)		1	99509			99509	0.4	99509		99509	0.4
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	38119	5342999			5342999	21.64	5342999		5342999	21.64
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	3	270568			270568	1.1	270568		270568	1.1
(b)		0	0			0	0	0		0	0

	NBFCs registered with RBI									
(c)	Employee Trusts	0	0			0	0	0	0	0
(d)	Overseas Depositories (holding DRs) (balancing figure)	0	0			0	0	0	0	0
(e)	Any Other (specify)	2179	1240437			1240437	5.02	1240437	1240437	5.02
Sub-Total (B) (3)		40301	6854004			6854004	27.76	6854004	6854004	27.76
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		40328	7240117			7240117	29.32	7240117	7240117	29.32
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder									
(1)	Custodian/DR Holder - Name of DR Holders (If Available)	0	0			0		0	0	0
(2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	0	0			0	0	0	0	0
Total NonPromoter- Non Public Shareholding (C)=(C)(1)+(C)(2)		0	0			0		0	0	0
Total (A+B+C2)		40329	24690797			24690797	100	24690797	24690797	100
Total (A+B+C)		40329	24690797			24690797	100	24690797	24690797	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group									
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(2)	Foreign								
(e)				70.68					17450680
Sub-Total (A)(2)				70.68					17450680
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)				70.68					17450680
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(a)				0					200
(b)				0					0
(c)				0					0
(d)				0					0
(e)				0					98
(f)				1.16					284957
(g)				0					0
(h)				0					0
(i)				0					0
Sub-Total (B)(1)				1.16					285255
(2)				0.4					99509
Sub-Total (B)(2)				0.4					99509
(3)	Non-institutions								
(a(i))				21.64					4783663
(a(ii))				1.1					270568
(b)				0					0
(c)				0					0
(d)				0					0
(e)				5.02					1237170
Sub-Total (B)(3)				27.76					6291401
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				29.32					6676165
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
(1)									0
(2)				0					0
Total NonPromoter- Non Public Shareholding (C)= (C)(1)+(C)(2)									0
Total (A+B+C2)				100					24126845
Total (A+B+C)				100					24126845

Any Other (specify)		
Serial No.	1	
Category	Bodies Corporate	
Name of the Shareholders (I)	Novartis AG	Click here to go back
PAN (II)	AAACN4932F	Total
No. of the Shareholders (I)	1	1
No. of fully paid up equity shares held (IV)	17450680	17450680
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	17450680	17450680
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	70.68	70.68
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	17450680	17450680
Class eg:y		
Total	17450680	17450680
Total as a % of Total Voting rights	70.68	70.68
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	70.68	70.68
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		0
Number of Shares pledged or otherwise encumbered (XIII)		
No. (a)		
As a % of total Shares held (b)		0
Number of equity shares held in dematerialized form (XIV)	17450680	17450680

Reason for not providing PAN		
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Financial Institutions/ Banks		
Serial No.	1	
Name of the Shareholders (I)	The Oriental Insurance Company Limited	Click here to go back
PAN (II)	AAACT0627R	Total
No. of fully paid up equity shares held (IV)	280562	280562
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	280562	280562
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.14	1.14
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	280562	280562
Class eg:y		
Total	280562	280562
Total as a % of Total Voting rights	1.14	1.14
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	1.14	1.14
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	280562	280562
Reason for not providing PAN		
Reason for not providing PAN		

Any Other (specify)							
Serial No.	1	2	3	4	5	6	7
Category	Trusts	Foreign Individuals	HUF	NRI – Non-Repatriation	Director or Director's Relatives	NRI – Repatriation	Clearing Members
Category / More than 1 percentage	Category	Category	Category	Category	Category	Category	Category
Name of the Shareholders (I)							
PAN (II)							
No. of the Shareholders (I)	7	1	1059	360	1	251	98
No. of fully paid up equity shares held (IV)	1874	54	216146	154295	220	69450	54822
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1874	54	216146	154295	220	69450	54822
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.01	0	0.88	0.62	0	0.28	0.22
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	1874	54	216146	154295	220	69450	54822
Class eg:y							
Total	1874	54	216146	154295	220	69450	54822
Total as a % of Total Voting rights	0.01	0	0.88	0.62	0	0.28	0.22
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	0.01	0	0.88	0.62	0	0.28	0.22
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	1399	54	216146	152201	220	68752	54822
Reason for not providing PAN							

Reason for not providing PAN								
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Any Other (specify)		
Serial No.	8	
Category	Bodies Corporate	
Category / More than 1 percentage	More than 1 percentage of shareholding	
Name of the Shareholders (I)	Atul Limited	Click here to go back
PAN (II)	AABCA2390M	Total
No. of the Shareholders (I)	1	1777
No. of fully paid up equity shares held (IV)	374619	496861
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	374619	496861
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.52	2.01
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	374619	496861
Class eg:y		
Total	374619	496861
Total as a % of Total Voting rights	1.52	2.01
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	1.52	2.01
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	374619	493594
Reason for not providing PAN		
Reason for not providing PAN		

