

<b>General information about company</b>	
Scrip code	500672
Name of the company	Novartis India Limited
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	31-12-2017
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

Sr. No.	Particular	Yes/No
1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No
3	Whether the Listed Entity has issued any Warrants ?	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No
5	Whether the Listed Entity has any shares in locked-in?	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No
7	Whether company has equity shares with differential voting rights?	No

Table I - Summary Statement holding of specified securities											
Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	1	20656042			20656042	73.4	20656042		20656042	73.4
(B)	Public	41855	7484755			7484755	26.6	7484755		7484755	26.6
(C)	Non Promoter-Non Public	0	0			0		0		0	0
(C1)	Shares underlying DRs	0	0			0		0		0	0
(C2)	Shares held by Employee Trusts	0	0			0	0	0		0	0
	Total	41856	28140797			28140797	100	28140797		28140797	100

Table I - Summary Statement holding of specified securities										
Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				73.4					20656042
(B)	Public				26.6					6918205
(C)	Non Promoter-Non Public									0
(C1)	Shares underlying DRs									0
(C2)	Shares held by Employee Trusts				0					0
	Total				100					27574247

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(2)	Foreign										
(e)	Any Other (specify)	1	20656042			20656042	73.4	20656042		20656042	73.4
Sub-Total (A) (2)		1	20656042			20656042	73.4	20656042		20656042	73.4
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		1	20656042			20656042	73.4	20656042		20656042	73.4
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(a)	Mutual Funds	5	760			760	0	760		760	0
(b)	Venture Capital Funds	0	0			0	0	0		0	0
(c)	Alternate Investment Funds	0	0			0	0	0		0	0
(d)	Foreign Venture Capital Investors	0	0			0	0	0		0	0
(e)	Foreign Portfolio Investors	2	234			234	0	234		234	0
(f)	Financial Institutions/ Banks	20	285746			285746	1.02	285746		285746	1.02
(g)	Insurance Companies	0	0			0	0	0		0	0
(h)	Provident Funds/ Pension Funds	0	0			0	0	0		0	0
(i)	Any Other (specify)	0	0			0	0	0		0	0
Sub-Total (B) (1)		27	286740			286740	1.02	286740		286740	1.02
(2)	Central Government/ State Government (s)/ President of India	1	99509			99509	0.35	99509		99509	0.35
Sub-Total (B) (2)		1	99509			99509	0.35	99509		99509	0.35
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	39516	5496489			5496489	19.53	5496489		5496489	19.53
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	4	337392			337392	1.2	337392		337392	1.2
(b)		0	0			0	0	0		0	0

	NBFCs registered with RBI									
(c)	Employee Trusts	0	0			0	0	0	0	0
(d)	Overseas Depositories (holding DRs) (balancing figure)	0	0			0	0	0	0	0
(e)	Any Other (specify)	2307	1264625			1264625	4.49	1264625	1264625	4.49
Sub-Total (B) (3)		41827	7098506			7098506	25.22	7098506	7098506	25.22
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		41855	7484755			7484755	26.6	7484755	7484755	26.6
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder									
(1)	Custodian/DR Holder - Name of DR Holders (If Available)	0	0			0		0	0	0
(2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	0	0			0	0	0	0	0
Total NonPromoter- Non Public Shareholding (C)=(C)(1)+(C)(2)		0	0			0		0	0	0
Total (A+B+C2)		41856	28140797			28140797	100	28140797	28140797	100
Total (A+B+C)		41856	28140797			28140797	100	28140797	28140797	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group									
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(2)	Foreign								
(e)				73.4					20656042
Sub-Total (A)(2)				73.4					20656042
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)				73.4					20656042
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(a)				0					200
(b)				0					0
(c)				0					0
(d)				0					0
(e)				0					234
(f)				1.02					284957
(g)				0					0
(h)				0					0
(i)				0					0
Sub-Total (B)(1)				1.02					285391
( 2 )				0.35					99509
Sub-Total (B)(2)				0.35					99509
( 3 )	Non-institutions								
(a(i))				19.53					4934555
(a(ii))				1.2					337392
(b)				0					0
(c)				0					0
(d)				0					0
(e)				4.49					1261358
Sub-Total (B)(3)				25.22					6533305
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				26.6					6918205
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
( 1 )									0
( 2 )				0					0
Total NonPromoter- Non Public Shareholding (C)= (C)(1)+(C)(2)									0
Total ( A+B+C2 )				100					27574247
Total (A+B+C)				100					27574247

Any Other (specify)		
Serial No.	1	
Category	Bodies Corporate	
Name of the Shareholders (I)	Novartis AG	<a href="#">Click here to go back</a>
PAN (II)	AAACN4932F	Total
No. of the Shareholders (I)	1	1
No. of fully paid up equity shares held (IV)	20656042	20656042
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	20656042	20656042
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	73.4	73.4
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	20656042	20656042
Class eg:y		
Total	20656042	20656042
Total as a % of Total Voting rights	73.4	73.4
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	73.4	73.4
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		0
Number of Shares pledged or otherwise encumbered (XIII)		
No. (a)		
As a % of total Shares held (b)		0
Number of equity shares held in dematerialized form (XIV)	20656042	20656042



Reason for not providing PAN		
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Any Other (specify)							
Serial No.	1	2	3	4	5	6	7
Category	Trusts	Foreign Individuals	HUF	NRI – Non-Repatriation	Director or Director's Relatives	NRI – Repatriation	Clearing Members
Category / More than 1 percentage	Category	Category	Category	Category	Category	Category	Category
Name of the Shareholders (I)							
PAN (II)							
No. of the Shareholders (I)	6	1	1146	366	1	265	91
No. of fully paid up equity shares held (IV)	1374	54	243424	154777	220	127775	38362
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1374	54	243424	154777	220	127775	38362
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0	0	0.87	0.55	0	0.45	0.14
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	1374	54	243424	154777	220	127775	38362
Class eg:y							
Total	1374	54	243424	154777	220	127775	38362
Total as a % of Total Voting rights	0	0	0.87	0.55	0	0.45	0.14
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	0	0	0.87	0.55	0	0.45	0.14
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	899	54	243424	152683	220	127077	38362
Reason for not providing PAN							

Reason for not providing PAN							
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<b>Any Other (specify)</b>			
Serial No.	8	9	
Category	Bodies Corporate	Bodies Corporate	
Category / More than 1 percentage	Category	More than 1 percentage of shareholding	
Name of the Shareholders (I)		Atul Limited	<a href="#">Click here to go back</a>
PAN (II)		AABCA2390M	Total
No. of the Shareholders (I)	431	1	2307
No. of fully paid up equity shares held (IV)	698639	374627	1264625
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	698639	374627	1264625
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.48	1.33	4.49
<b>Number of Voting Rights held in each class of securities (IX)</b>			
Class eg: X	698639	374627	1264625
Class eg:y			
Total	698639	374627	1264625
Total as a % of Total Voting rights	2.48	1.33	4.49
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	2.48	1.33	4.49
<b>Number of Locked in shares (XII)</b>			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	698639	374627	1261358
<b>Reason for not providing PAN</b>			
Reason for not providing PAN			

