

General information about company	
Scrip code	500672
NSE Symbol	
MSEI Symbol	
ISIN	INE234A01025
Name of the company	Novartis India Limited
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	31-12-2018
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

Declaration					
Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter-Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No

Table I - Summary Statement holding of specified securities											
Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	1	17450680			17450680	70.68	17450680		17450680	70.68
(B)	Public	41212	7240117			7240117	29.32	7240117		7240117	29.32
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	41213	24690797			24690797	100	24690797		24690797	100

Table I - Summary Statement holding of specified securities										
Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				70.68					17450680
(B)	Public				29.32					6720314
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100					24170994

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group											
Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(2)	Foreign										
(e)	Any Other (specify)	1	17450680			17450680	70.68	17450680		17450680	70.68
Sub-Total (A) (2)		1	17450680			17450680	70.68	17450680		17450680	70.68
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A) (2)		1	17450680			17450680	70.68	17450680		17450680	70.68
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(a)	Mutual Funds	5	760			760	0	760		760	0
(e)	Foreign Portfolio Investors	8	52327			52327	0.21	52327		52327	0.21
(f)	Financial Institutions/ Banks	19	230293			230293	0.93	230293		230293	0.93
Sub-Total (B) (1)		32	283380			283380	1.15	283380		283380	1.15
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	38918	5442220			5442220	22.04	5442220		5442220	22.04
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	2	227337			227337	0.92	227337		227337	0.92
(b)	NBFCs registered with RBI	5	6480			6480	0.03	6480		6480	0.03
(e)	Any Other (specify)	2255	1280700			1280700	5.19	1280700		1280700	5.19
Sub-Total (B) (3)		41180	6956737			6956737	28.18	6956737		6956737	28.18
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		41212	7240117			7240117	29.32	7240117		7240117	29.32
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										

Total (A+B+C2)		41213	24690797			24690797	100	24690797		24690797	100
Total (A+B+C)		41213	24690797			24690797	100	24690797		24690797	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group									
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(2)	Foreign								
(e)				70.68					17450680
Sub-Total (A) (2)				70.68					17450680
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)				70.68					17450680
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(a)				0					200
(e)				0.21					52327
(f)				0.93					229504
Sub-Total (B) (1)				1.15					282031
(3)	Non-institutions								
(a(i))				22.04					4926748
(a(ii))				0.92					227337
(b)				0.03					6480
(e)				5.19					1277718
Sub-Total (B) (3)				28.18					6438283
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				29.32					6720314
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total (A+B+C2)				100					24170994
Total (A+B+C)				100					24170994

Any Other (specify)		
Serial No.	1	
Category	Bodies Corporate	
Name of the Shareholders (I)	Novartis AG	Click here to go back
PAN (II)	AAACN4932F	Total
No. of the Shareholders (I)	1	1
No. of fully paid up equity shares held (IV)	17450680	17450680
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	17450680	17450680
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	70.68	70.68
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	17450680	17450680
Class eg:y		
Total	17450680	17450680
Total as a % of Total Voting rights	70.68	70.68
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	70.68	70.68
Number of Locked in shares (XII)		
No. (a)		
As a % of total		0

Shares held (b)		
Number of Shares pledged or otherwise encumbered (XIII)		
No. (a)		
As a % of total Shares held (b)		0
Number of equity shares held in dematerialized form (XIV)	17450680	17450680
Reason for not providing PAN		
Reason for not providing PAN		
Shareholder type		

Any Other (specify)							
Serial No.	1	2	3	4	5	6	7
Category	IEPF	Trusts	Foreign Nationals	HUF	Non-Resident Indian (NRI)	Director or Director's Relatives	Clearing Members
Category / More than 1 percentage	Category	Category	Category	Category	Category	Category	Category
Name of the Shareholders (I)							
PAN (II)							
No. of the Shareholders (I)	1	4	1	1103	646	2	117
No. of fully paid up equity shares held (IV)	99509	1822	54	233904	225989	253	53563
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	99509	1822	54	233904	225989	253	53563
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.4	0.01	0	0.95	0.92	0	0.22
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	99509	1822	54	233904	225989	253	53563
Class eg:y							
Total	99509	1822	54	233904	225989	253	53563
Total as a % of Total Voting rights	0.4	0.01	0	0.95	0.92	0	0.22
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0.4	0.01	0	0.95	0.92	0	0.22
Number of Locked in shares (XII)							

No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	99509	1822	54	233904	223227	253	53563
Reason for not providing PAN							
Reason for not providing PAN							

Any Other (specify)			
Serial No.	8	9	
Category	Bodies Corporate	Bodies Corporate	
Category / More than 1 percentage	More than 1 percentage of shareholding	Category	
Name of the Shareholders (I)	Atul Limited		Click here to go back
PAN (II)	AABCA2390M		Total
No. of the Shareholders (I)	1	381	2255
No. of fully paid up equity shares held (IV)	374627	665606	1280700
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	374627	665606	1280700
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.52	2.7	5.2
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	374627	665606	1280700
Class eg:y			
Total	374627	665606	1280700
Total as a % of Total Voting rights	1.52	2.7	5.2
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.52	2.7	5.2
Number of Locked in shares (XII)			
No. (a)			

As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	374627	665386	1277718
Reason for not providing PAN			
Reason for not providing PAN			

