

<b>General information about company</b>	
Scrip code	500672
NSE Symbol	
MSEI Symbol	
ISIN	INE234A01025
Name of the company	Novartis India Limited
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2018
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

<b>Declaration</b>					
Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter-Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No

<b>Table I - Summary Statement holding of specified securities</b>											
Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	1	17450680			17450680	70.68	17450680		17450680	70.68
(B)	Public	40159	7240117			7240117	29.32	7240117		7240117	29.32
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	40160	24690797			24690797	100	24690797		24690797	100

Table I - Summary Statement holding of specified securities										
Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				70.68					17450680
(B)	Public				29.32					6699670
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100					24150350

<b>Table II - Statement showing shareholding pattern of the Promoter and Promoter Group</b>											
Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(2)	Foreign										
(e)	Any Other (specify)	1	17450680			17450680	70.68	17450680		17450680	70.68
Sub-Total (A) (2)		1	17450680			17450680	70.68	17450680		17450680	70.68
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A) (2)		1	17450680			17450680	70.68	17450680		17450680	70.68
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(a)	Mutual Funds	5	760			760	0	760		760	0
(e)	Foreign Portfolio Investors	12	74043			74043	0.3	74043		74043	0.3
(f)	Financial Institutions/ Banks	20	244972			244972	0.99	244972		244972	0.99
Sub-Total (B) (1)		37	319775			319775	1.3	319775		319775	1.3
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	37894	5358904			5358904	21.7	5358904		5358904	21.7
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	2	227337			227337	0.92	227337		227337	0.92
(b)	NBFCs registered with RBI	7	8905			8905	0.04	8905		8905	0.04
(e)	Any Other (specify)	2219	1325196			1325196	5.37	1325196		1325196	5.37
Sub-Total (B) (3)		40122	6920342			6920342	28.03	6920342		6920342	28.03
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		40159	7240117			7240117	29.32	7240117		7240117	29.32
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										

Total (A+B+C2)		40160	24690797			24690797	100	24690797		24690797	100
Total (A+B+C)		40160	24690797			24690797	100	24690797		24690797	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group									
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(2)	Foreign								
(e)				70.68					17450680
Sub-Total (A) (2)				70.68					17450680
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)				70.68					17450680
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(a)				0					200
(e)				0.3					74043
(f)				0.99					244183
Sub-Total (B) (1)				1.3					318426
(3)	Non-institutions								
(a(i))				21.7					4822868
(a(ii))				0.92					227337
(b)				0.04					8905
(e)				5.37					1322134
Sub-Total (B) (3)				28.03					6381244
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				29.32					6699670
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total ( A+B+C2 )				100					24150350
Total (A+B+C )				100					24150350

Any Other (specify)		
Serial No.	1	
Category	Bodies Corporate	
Name of the Shareholders (I)	Novartis AG	<a href="#">Click here to go back</a>
PAN (II)	AAACN4932F	Total
No. of the Shareholders (I)	1	1
No. of fully paid up equity shares held (IV)	17450680	17450680
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	17450680	17450680
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	70.68	70.68
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	17450680	17450680
Class eg:y		
Total	17450680	17450680
Total as a % of Total Voting rights	70.68	70.68
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	70.68	70.68
Number of Locked in shares (XII)		
No. (a)		
As a % of total		0

Shares held (b)		
Number of Shares pledged or otherwise encumbered (XIII)		
No. (a)		
As a % of total Shares held (b)		0
Number of equity shares held in dematerialized form (XIV)	17450680	17450680
Reason for not providing PAN		
Reason for not providing PAN		
Shareholder type	Promoter	

Any Other (specify)							
Serial No.	1	2	3	4	5	6	7
Category	IEPF	Trusts	Foreign Nationals	HUF	Non-Resident Indian (NRI)	Clearing Members	Bodies Corporate
Category / More than 1 percentage	Category	Category	Category	Category	Category	Category	More than 1 percentage of shareholding
Name of the Shareholders (I)							Atul Limited
PAN (II)							AABCA2390M
No. of the Shareholders (I)	1	4	1	1052	632	138	1
No. of fully paid up equity shares held (IV)	99509	1822	54	226220	223444	84177	374627
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	99509	1822	54	226220	223444	84177	374627
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.4	0.01	0	0.92	0.9	0.34	1.52
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	99509	1822	54	226220	223444	84177	374627
Class eg:y							
Total	99509	1822	54	226220	223444	84177	374627
Total as a % of Total Voting rights	0.4	0.01	0	0.92	0.9	0.34	1.52
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0.4	0.01	0	0.92	0.9	0.34	1.52
Number of Locked in shares (XII)							

No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	99509	1822	54	225950	220652	84177	374627
Reason for not providing PAN							
Reason for not providing PAN							

<b>Any Other (specify)</b>			
Serial No.	8	9	
Category	Director or Director's Relatives	Bodies Corporate	
Category / More than 1 percentage	Category	Category	
Name of the Shareholders (I)			<a href="#">Click here to go back</a>
PAN (II)			Total
No. of the Shareholders (I)	2	389	2219
No. of fully paid up equity shares held (IV)	253	689717	1325196
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	253	689717	1325196
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0	2.79	5.36
<b>Number of Voting Rights held in each class of securities (IX)</b>			
Class eg: X	253	689717	1325196
Class eg:y			
Total	253	689717	1325196
Total as a % of Total Voting rights	0	2.79	5.36
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0	2.79	5.36
<b>Number of Locked in shares (XII)</b>			
No. (a)			

As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	253	689717	1322134
Reason for not providing PAN			
Reason for not providing PAN			

