

<b>General information about company</b>	
Scrip code	500672
NSE Symbol	
MSEI Symbol	
ISIN	INE234A01025
Name of the company	Novartis India Limited
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-06-2018
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

<b>Declaration</b>					
Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter-Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No

<b>Table I - Summary Statement holding of specified securities</b>											
Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	1	17450680			17450680	70.68	17450680		17450680	70.68
(B)	Public	39487	7240117			7240117	29.32	7240117		7240117	29.32
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	39488	24690797			24690797	100	24690797		24690797	100

Table I - Summary Statement holding of specified securities										
Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				70.68					17450680
(B)	Public				29.32					6689041
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100					24139721

<b>Table II - Statement showing shareholding pattern of the Promoter and Promoter Group</b>											
Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(2)	Foreign										
(e)	Any Other (specify)	1	17450680			17450680	70.68	17450680		17450680	70.68
Sub-Total (A) (2)		1	17450680			17450680	70.68	17450680		17450680	70.68
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A) (2)		1	17450680			17450680	70.68	17450680		17450680	70.68
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(a)	Mutual Funds	5	760			760	0	760		760	0
(e)	Foreign Portfolio Investors	2	98			98	0	98		98	0
(f)	Financial Institutions/ Banks	19	285680			285680	1.16	285680		285680	1.16
Sub-Total (B) (1)		26	286538			286538	1.16	286538		286538	1.16
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	37292	5356921			5356921	21.7	5356921		5356921	21.7
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	3	270970			270970	1.1	270970		270970	1.1
(b)	NBFCs registered with RBI	6	6165			6165	0.02	6165		6165	0.02
(e)	Any Other (specify)	2160	1319523			1319523	5.34	1319523		1319523	5.34
Sub-Total (B) (3)		39461	6953579			6953579	28.16	6953579		6953579	28.16
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		39487	7240117			7240117	29.32	7240117		7240117	29.32
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										

Total (A+B+C2)		39488	24690797			24690797	100	24690797		24690797	100
Total (A+B+C)		39488	24690797			24690797	100	24690797		24690797	100

<b>Table II - Statement showing shareholding pattern of the Promoter and Promoter Group</b>									
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(2)	Foreign								
(e)				70.68					17450680
Sub-Total (A) (2)				70.68					17450680
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)				70.68					17450680
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(a)				0					200
(e)				0					98
(f)				1.16					284891
Sub-Total (B) (1)				1.16					285189
( 3 )	Non-institutions								
(a(i))				21.7					4809986
(a(ii))				1.1					270970
(b)				0.02					6165
(e)				5.34					1316731
Sub-Total (B) (3)				28.16					6403852
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				29.32					6689041
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total ( A+B+C2 )				100					24139721
Total (A+B+C )				100					24139721

<b>Any Other (specify)</b>		
Serial No.	1	
Category	Bodies Corporate	
Name of the Shareholders (I)	Novartis AG	<a href="#">Click here to go back</a>
PAN (II)	AAACN4932F	Total
No. of the Shareholders (I)	1	1
No. of fully paid up equity shares held (IV)	17450680	17450680
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	17450680	17450680
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	70.68	70.68
<b>Number of Voting Rights held in each class of securities (IX)</b>		
Class eg: X	17450680	17450680
Class eg:y		
Total	17450680	17450680
Total as a % of Total Voting rights	70.68	70.68
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	70.68	70.68
<b>Number of Locked in shares (XII)</b>		
No. (a)		
As a % of total		0



Shares held (b)		
Number of Shares pledged or otherwise encumbered (XIII)		
No. (a)		
As a % of total Shares held (b)		0
Number of equity shares held in dematerialized form (XIV)	17450680	17450680
Reason for not providing PAN		
Reason for not providing PAN		
Shareholder type		

<b>Financial Institutions/ Banks</b>		
Serial No.	1	
Name of the Shareholders (I)	The Oriental Insurance Company Limited	<a href="#">Click here to go back</a>
PAN (II)	AAACT0627R	Total
No. of fully paid up equity shares held (IV)	280562	280562
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	280562	280562
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.14	1.14
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	280562	280562
Class eg:y		
Total	280562	280562
Total as a % of Total Voting rights	1.14	1.14
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.14	1.14
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	280562	280562

Reason for not providing PAN		
Reason for not providing PAN		

Any Other (specify)							
Serial No.	1	2	3	4	5	6	7
Category	IEPF	Trusts	Foreign Nationals	HUF	Non-Resident Indian (NRI)	Director or Director's Relatives	Clearing Members
Category / More than 1 percentage	Category	Category	Category	Category	Category	Category	Category
Name of the Shareholders (I)							
PAN (II)							
No. of the Shareholders (I)	1	4	1	1046	630	2	98
No. of fully paid up equity shares held (IV)	99509	1822	54	222777	233504	253	40841
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	99509	1822	54	222777	233504	253	40841
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.4	0.01	0	0.9	0.95	0	0.17
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	99509	1822	54	222777	233504	253	40841
Class eg:y							
Total	99509	1822	54	222777	233504	253	40841
Total as a % of Total Voting rights	0.4	0.01	0	0.9	0.95	0	0.17
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0.4	0.01	0	0.9	0.95	0	0.17
Number of Locked in shares (XII)							

No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	99509	1822	54	222777	230712	253	40841
Reason for not providing PAN							
Reason for not providing PAN							

<b>Any Other (specify)</b>			
Serial No.	8	9	
Category	Bodies Corporate	Bodies Corporate	
Category / More than 1 percentage	Category	More than 1 percentage of shareholding	
Name of the Shareholders (I)		Atul Limited	<a href="#">Click here to go back</a>
PAN (II)		AABCA2390M	Total
No. of the Shareholders (I)	378	1	2160
No. of fully paid up equity shares held (IV)	720763	374619	1319523
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	720763	374619	1319523
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.92	1.52	5.35
<b>Number of Voting Rights held in each class of securities (IX)</b>			
Class eg: X	720763	374619	1319523
Class eg:y			
Total	720763	374619	1319523
Total as a % of Total Voting rights	2.92	1.52	5.35
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	2.92	1.52	5.35
<b>Number of Locked in shares (XII)</b>			
No. (a)			

As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	720763	374619	1316731
Reason for not providing PAN			
Reason for not providing PAN			

