

<b>General information about company</b>	
Scrip code	500672
Name of the company	Novartis India Limited
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	31-03-2017
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

Sr. No.	<b>Particular</b>	Yes/No
1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No
3	Whether the Listed Entity has issued any Warrants ?	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No
5	Whether the Listed Entity has any shares in locked-in?	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No
7	Whether company has equity shares with differential voting rights?	No

Table I - Summary Statement holding of specified securities											
Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg: y	Total	
(A)	Promoter & Promoter Group	1	20656042			20656042	73.4	20656042		20656042	73.4
(B)	Public	44496	7484755			7484755	26.6	7484755		7484755	26.6
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	44497	28140797			28140797	100	28140797		28140797	100

Table I - Summary Statement holding of specified securities										
Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				73.4					20656042
(B)	Public				26.6					6802845
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100					27458887

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group											
Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(2)	Foreign										
(e)	Any Other (specify)	1	20656042			20656042	73.4	20656042		20656042	73.4
Sub-Total (A)(2)		1	20656042			20656042	73.4	20656042		20656042	73.4
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		1	20656042			20656042	73.4	20656042		20656042	73.4
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(a)	Mutual Funds	10	89229			89229	0.32	89229		89229	0.32
(e)	Foreign Portfolio Investors	3	2157			2157	0.01	2157		2157	0.01
(f)	Financial Institutions/ Banks	40	287228			287228	1.02	287228		287228	1.02
(i)	Any Other (specify)	2	83			83	0	83		83	0
Sub-Total (B)(1)		55	378697			378697	1.35	378697		378697	1.35
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	42214	5510221			5510221	19.58	5510221		5510221	19.58
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	2	285982			285982	1.02	285982		285982	1.02
(e)	Any Other (specify)	2225	1309855			1309855	4.65	1309855		1309855	4.65
Sub-Total (B)(3)		44441	7106058			7106058	25.25	7106058		7106058	25.25
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		44496	7484755			7484755	26.6	7484755		7484755	26.6
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		44497	28140797			28140797	100	28140797		28140797	100
Total (A+B+C)		44497	28140797			28140797	100	28140797		28140797	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group									
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(2)	Foreign								
(e)				73.4					20656042
Sub-Total (A) (2)				73.4					20656042
Total Shareholding of Promoter and Promoter Group (A)=(A) (1)+(A)(2)				73.4					20656042
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(a)				0.32					88639
(e)				0.01					2157
(f)				1.02					285137
(i)				0					0
Sub-Total (B) (1)				1.35					375933
( 3 )	Non-institutions								
(a(i))				19.58					4834411
(a(ii))				1.02					285982
(e)				4.65					1306519
Sub-Total (B) (3)				25.25					6426912
Total Public Shareholding (B)=(B)(1)+(B) (2)+(B)(3)				26.6					6802845
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total ( A+B+C2 )				100					27458887
Total (A+B+C )				100					27458887

<b>Any Other (specify)</b>		
Serial No.	1	
Category	Bodies Corporate	
Name of the Shareholders (I)	Novartis AG	<a href="#">Click here to go back</a>
PAN (II)	AAACN4932F	Total
No. of the Shareholders (I)	1	1
No. of fully paid up equity shares held (IV)	20656042	20656042
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	20656042	20656042
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	73.4	73.4
<b>Number of Voting Rights held in each class of securities (IX)</b>		
Class eg: X	20656042	20656042
Class eg:y		
Total	20656042	20656042
Total as a % of Total Voting rights	73.4	73.4
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	73.4	73.4
<b>Number of Locked in shares (XII)</b>		
No. (a)		
As a % of total Shares held (b)		0
<b>Number of Shares pledged or otherwise encumbered (XIII)</b>		
No. (a)		
As a % of total Shares held (b)		0
Number of equity shares held in dematerialized form (XIV)	20656042	20656042

Reason for not providing PAN		
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