

General information about company	
Scrip code	500672
Name of the company	Novartis India Limited
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-06-2017
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

Sr. No.	Particular	Yes/No
1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No
3	Whether the Listed Entity has issued any Warrants ?	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No
5	Whether the Listed Entity has any shares in locked-in?	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No
7	Whether company has equity shares with differential voting rights?	No

Table I - Summary Statement holding of specified securities											
Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	1	20656042			20656042	73.4	20656042		20656042	73.4
(B)	Public	44161	7484755			7484755	26.6	7484755		7484755	26.6
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	44162	28140797			28140797	100	28140797		28140797	100

Table I - Summary Statement holding of specified securities										
Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				73.4					20656042
(B)	Public				26.6					6808125
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100					27464167

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group											
Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(2)	Foreign										
(e)	Any Other (specify)	1	20656042			20656042	73.4	20656042		20656042	73.4
Sub-Total (A)(2)		1	20656042			20656042	73.4	20656042		20656042	73.4
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		1	20656042			20656042	73.4	20656042		20656042	73.4
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(a)	Mutual Funds	10	84432			84432	0.3	84432		84432	0.3
(e)	Foreign Portfolio Investors	3	2157			2157	0.01	2157		2157	0.01
(f)	Financial Institutions/ Banks	41	287294			287294	1.02	287294		287294	1.02
(i)	Any Other (specify)	2	83			83	0	83		83	0
Sub-Total (B)(1)		56	373966			373966	1.33	373966		373966	1.33
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	41826	5484822			5484822	19.49	5484822		5484822	19.49
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	2	285982			285982	1.02	285982		285982	1.02
(e)	Any Other (specify)	2277	1339985			1339985	4.76	1339985		1339985	4.76
Sub-Total (B)(3)		44105	7110789			7110789	25.27	7110789		7110789	25.27
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		44161	7484755			7484755	26.6	7484755		7484755	26.6
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		44162	28140797			28140797	100	28140797		28140797	100
Total (A+B+C)		44162	28140797			28140797	100	28140797		28140797	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group									
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(2)	Foreign								
(e)				73.4					20656042
Sub-Total (A) (2)				73.4					20656042
Total Shareholding of Promoter and Promoter Group (A)=(A) (1)+(A)(2)				73.4					20656042
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(a)				0.3					83842
(e)				0.01					2157
(f)				1.02					285203
(i)				0					0
Sub-Total (B) (1)				1.33					371202
(3)	Non-institutions								
(a(i))				19.49					4814292
(a(ii))				1.02					285982
(e)				4.76					1336649
Sub-Total (B) (3)				25.27					6436923
Total Public Shareholding (B)=(B)(1)+(B) (2)+(B)(3)				26.6					6808125
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total (A+B+C2)				100					27464167
Total (A+B+C)				100					27464167

Any Other (specify)		
Serial No.	1	
Category	Bodies Corporate	
Name of the Shareholders (I)	Novartis AG	Click here to go back
PAN (II)	AAACN4932F	Total
No. of the Shareholders (I)	1	1
No. of fully paid up equity shares held (IV)	20656042	20656042
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	20656042	20656042
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	73.4	73.4
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	20656042	20656042
Class eg:y		
Total	20656042	20656042
Total as a % of Total Voting rights	73.4	73.4
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	73.4	73.4
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		0
Number of Shares pledged or otherwise encumbered (XIII)		
No. (a)		
As a % of total Shares held (b)		0
Number of equity shares held in dematerialized form (XIV)	20656042	20656042

Reason for not providing PAN		
------------------------------	--	--

Any Other (specify)		
Serial No.	1	
Category	Other	
Category / More than 1 percentage	Category	Click here to go back
Name of the Shareholders (I)	UTI	
PAN (II)		Total
No. of the Shareholders (I)	2	2
No. of fully paid up equity shares held (IV)	83	83
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	83	83
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0	0
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	83	83
Class eg:y		
Total	83	83
Total as a % of Total Voting rights	0	0
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and Warrants (X)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	0	0
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	0	0
Reason for not providing PAN		
Reason for not providing PAN		

Any Other (specify)							
Serial No.	1	2	3	4	5	6	7
Category	Trusts	Foreign Individuals	HUF	NRI – Non-Repatriation	Director or Director's Relatives	NRI – Repatriation	Clearing Members
Category / More than 1 percentage	Category	Category	Category	Category	Category	Category	Category
Name of the Shareholders (I)							
PAN (II)							
No. of the Shareholders (I)	7	1	1048	362	1	301	122
No. of fully paid up equity shares held (IV)	910	54	226193	157067	220	129147	42697
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	910	54	226193	157067	220	129147	42697
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0	0	0.8	0.56	0	0.46	0.15
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	910	54	226193	157067	220	129147	42697
Class eg:y							
Total	910	54	226193	157067	220	129147	42697
Total as a % of Total Voting rights	0	0	0.8	0.56	0	0.46	0.15
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	0	0	0.8	0.56	0	0.46	0.15
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	910	54	226193	154815	220	128063	42697
Reason for not providing PAN							

Reason for not providing PAN							
------------------------------	--	--	--	--	--	--	--

Any Other (specify)		
Serial No.	8	
Category	Bodies Corporate	
Category / More than 1 percentage	More than 1 percentage of shareholding	
Name of the Shareholders (I)	Atul Limited	Click here to go back
PAN (II)	AABCA2390M	Total
No. of the Shareholders (I)	1	1842
No. of fully paid up equity shares held (IV)	374627	556288
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	374627	556288
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.33	1.97
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	374627	556288
Class eg:y		
Total	374627	556288
Total as a % of Total Voting rights	1.33	1.97
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	1.33	1.97
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	374627	552952
Reason for not providing PAN		
Reason for not providing PAN		

