



Novartis India Limited  
Registered Office:  
Inspire BKC  
Part of 601 & 701  
Bandra Kurla Complex  
Bandra (East)  
Mumbai – 400 051  
Maharashtra, India  
Tel +91 22 50243000  
Fax +91 22 50243010  
Email: india.investors@novartis.com  
CIN No. L24200MH1947PLC006104  
Website: www.novartis.in

The Secretary  
BSE Limited  
Phiroze Jeejeebhoy Towers  
Dalal Street  
Mumbai 400 001

October 23, 2018

**Reconciliation of Share Capital Audit for the Quarter ended September 30, 2018**

**COMPANY REGISTRATION NO: 11/6104**

**AUTHORISED CAPITAL Rs. 32,00,00,000/-**

**ISIN NO: INE234A01025**

Dear Sirs,

In compliance with Circular No. D&CC/FITTC/CIR-16/2002 dated December 31, 2002 of SEBI; we enclose a certificate from Amit K.Trivedi & Associates on Reconciliation of Share Capital Audit confirming no deviation for the quarter ended September 30, 2018.

This is for your information.

Yours Sincerely,  
For Novartis India Limited

A handwritten signature in blue ink, appearing to read "Trivikram Guda", written over a circular stamp.

Trivikram Guda  
Company Secretary &  
Compliance Officer

Encl.: as above

## **AMIT K. TRIVEDI & ASSOCIATES**

**Company Secretaries & IP Attorneys**

### **RECONCILIATION OF SHARE CAPITAL AUDIT**

- |   |   |   |
|---|---|---|
| 1. For Quarter Ended  | : | 30 <sup>TH</sup> Sep, 2018  |
| 2. ISIN   | : | INE234A01025  |
| 3. Face Value   | : | Rs. 5/- per share   |
| 4. Name of the Company  | : | Novartis India Limited  |
| 5. Registered Office Address  | : | Inspire – BKC, Part of 601 and 701,<br>BandraKurla Complex, Bandra East, Mumbai |
| 6. Correspondence Address   | : | Same as above   |
| 7. Telephone & Fax Nos.   | : | (T) +91 22 50243000, (F) + 91 22 50243010                                       |
| 8. Email Address  | : | india.investors@novartis.com  |
| 9. Name of the Stock Exchanges Where<br>The Company's Securities are listed | : | BSE Limited   |
| 10. Issued Capital  | : | Rs.123,453,985/- divided into 24690797<br>Equity Shares of Rs. 5/- each         |



11. Listed Capital (Exchange Wise)

: Rs.123,453,985/- divided into 24690797  
Equity Shares of Rs. 5/- each

No. of Share

% of Total Issued  
Capital

12. Held in Dematerialized form in CDSL

1427869

5.78

13. Held in Dematerialized form in NSDL

22722481

92.03

14. Physical

540447

2.19

15. Total No. of Shares (12+13+14)

24690797

100.00

16. Reasons for difference if any,  
between (10&11), (10&15), (11&15)

N.A.

17. Certifying the details of changes in share capital during the quarter under consideration as per table below:

Particulars	No. of Shares	Applied/ Not Applied for listing	Listed on stock Exchanges (Specify Names)	Whether intimated to CDSL	Whether intimated to NSDL	In-prin. Appr. Pending for SE	Remark
Not Applicable	N.A	Not Applicable	Not Applicable	Not Applicable	Not Applicable	N.A.	Not Applicable

18. Register of Members is Updated (Yes/No)  
If not, updated up to which date

Yes

N.A.

19. Reference of previous quarter with regards  
to excess dematerialized shares, if any

N.A.



20. Has the Company resolved the matter  
Mentioned in point no. 19 above in the  
Current quarter

: N.A.

21. Mentioned the total, if any, confirmed after 21 days and the total no. of requests pending  
beyond 21 days with the reasons for delay.

Total No. of Demat Request	No. of Request	No of Shares	Reasons for Delay.
Confirmed after 21 days	NIL	NIL	NIL
Pending for more than 21 days	NIL	NIL	NIL

22. Name, Telephone & Fax No. of the  
Compliance Officer of the Co.

:

Mr. TrivikramGuda  
Company Secretary & Compliance  
Officer  
(T) +91 22 50243000  
(F) +91 22 50243010

23. Name, Address, Tel, & Fax no.,  
Regn. No. of the Auditor

:

Amit K. Trivedi & Associates,  
Company Secretaries  
29-B, Mhatre Plaza,  
Dahanukar Wadi, Kandivli West,  
Mumbai - 400 067.  
(T) 022-2967 2943  
ACS No. 19738, C. P. No. 7059

24. Appointment of common agency for share  
Registry work

:

Link Intime India Pvt. Ltd.  
C-101, 247 Park, LBS Marg,  
Vikhroli (West),  
Mumbai-400 083  
Phone: 02249186270  
Fax: 02249186060

Date: October 23, 2018  
Place: Mumbai



For Amit K. Trivedi & Associates

*Amit K Trivedi*

Amit K Trivedi  
Membership No.: 19738  
COP No.: 7059