



**Novartis India Limited**  
Registered Office:  
Sandoz House  
Shivsagar Estate  
Dr. Annie Besant Road  
Worli, Mumbai 400 018  
India  
Tel +91 22 2495 8888  
Fax +91 22 2495 0221  
CIN No. L24200MH1947PLC006104  
Website: www.novartis.in  
Email : india.investors@novartis.com

The Secretary  
BSE Limited  
Phiroze Jeejeebhoy Towers  
Dalal Street  
Mumbai 400 001

July 16, 2018

**Reconciliation of Share Capital Audit for the Quarter ended June 30, 2018**

**COMPANY REGISTRATION NO: 11/6104**

**AUTHORISED CAPITAL Rs. 32,00,00,000/-**

**ISIN NO: INE234A01025**

Dear Sirs,

In compliance with Circular No. D&CC/FITTC/CIR-16/2002 dated December 31, 2002 of SEBI; we enclose a certificate from Amit K.Trivedi & Associates on Reconciliation of Share Capital Audit confirming no deviation for the quarter ended June 30, 2018.

This is for your information.

Yours Sincerely,  
For Novartis India Limited

A handwritten signature in blue ink, appearing to read "Trivikram Guda", with a long horizontal stroke extending to the right.

Trivikram Guda  
Company Secretary &  
Compliance Officer

Encl.: as above

## **AMIT K. TRIVEDI & ASSOCIATES**

**Company Secretaries & IP Attorneys**

### **RECONCILIATION OF SHARE CAPITAL AUDIT**

1. For Quarter Ended : 30<sup>TH</sup> June, 2018
2. ISIN : INE234A01025
3. Face Value : Rs. 5/- per share
4. Name of the Company : Novartis India Limited
5. Registered Office Address : Sandoz House, Shivsagar Estate, Dr. Annie Besant Road, Worli, Mumbai - 400 018
6. Correspondence Address : Same as above
7. Telephone & Fax Nos. : (T) +91 22 2495 8400, (F) + 91 22 2495 0221
8. Email Address : india.investors@novartis.com
9. Name of the Stock Exchanges Where The Company's Securities are listed : BSE Limited
10. Issued Capital : Rs. 123,453,985/- divided into 24690797 Equity Shares of Rs. 5/- each



11. Listed Capital (Exchange Wise) : Rs. 123,453,985/- divided into 24690797 Equity Shares of Rs. 5/- each

No. of Share

% of Total Issued Capital

12. Held in Dematerialized form in CDSL : 1348778 5.46

13. Held in Dematerialized form in NSDL : 22790943 92.31

14. Physical : 551076 2.23

15. Total No. of Shares (12+13+14) : 24690797 100.00

16. Reasons for difference if any, between (10&11), (10&15), (11&15) : N.A.

17. Certifying the details of changes in share capital during the quarter under consideration as per table below:

Particulars	No. of Shares	Applied/ Not Applied for listing	Listed on stock Exchanges (Specify Names)	Whether intimated to CDSL	Whether intimated to NSDL	In-prin. Appr. Pending for SE	Remark
N.A							

18. Register of Members is Updated (Yes/No) : Yes

If not, updated up to which date : N.A.

19. Reference of previous quarter with regards to excess dematerialized shares, if any : N.A.



20. Has the Company resolved the matter mentioned in point no. 19 above in the current quarter

: N.A.

21. Mentioned the total, if any, confirmed after 21 days and the total no. of requests pending beyond 21 days with the reasons for delay.

Total No. of Demat Request	No. of Request	No of Shares	Reasons for Delay.
Confirmed after 21 days	NIL	NIL	NIL
Pending for more than 21 days	NIL	NIL	NIL

22. Name, Telephone & Fax No. of the Compliance Officer of the Co.

:

Mr. Trivikram Guda  
Company Secretary & Compliance Officer  
(T) +91 22 2495 8869  
(F) +91 22 2495 0221

23. Name, Address, Tel, & Fax no., Regn. No. of the Auditor

:

Amit K. Trivedi & Associates,  
Company Secretaries  
29-B, Mhatre Plaza,  
Dahanukar Wadi, Kandivli West,  
Mumbai - 400 067.  
(T) 022-2967 2943  
ACS No. 19738, C. P. No. 7059

24. Appointment of common agency for share Registry work

:

Link Intime India Pvt. Ltd.  
C-101, 247 Park, LBS Marg,  
Vikhroli (West),  
Mumbai-400 083  
Phone: 02249186270  
Fax: 02249186060

Date: 12/07/2018

Place: Mumbai



For Amit K. Trivedi & Associates

Amit K Trivedi

Membership No.: 19738

COP No.: 7059