



Novartis India Limited

Registered Office:

Sandoz House

Shivsagar Estate

Dr. Annie Besant Road

Worli, Mumbai 400 018

India

Tel +91 22 2495 8888

Fax +91 22 2495 0221

CIN No. L24200MH1947PLC006104

Website: www.novartis.in

Email : india.investors@novartis.com

The Secretary
Bombay Stock Exchange Limited
Phiroze Jeejeebhoy Towers
Dalal Street
Mumbai 400 001

January 13, 2017

Reconciliation of Share Capital Audit for the Quarter ended December 31, 2016

COMPANY REGISTRATION NO: 11/6104

AUTHORISED CAPITAL Rs. 32,00,00,000/-

ISIN NO: INE234A01025

Dear Sirs,

In compliance with Circular No. D&CC/FITTC/CIR-16/2002 dated December 31, 2002 of SEBI; we enclose a certificate from Amit K.Trivedi & Associates confirming no deviation for the quarter ended December 31, 2016.

This is for your information.

Yours Sincerely,

For Novartis India Limited

A handwritten signature in blue ink, appearing to read "M. Noble", with a blue ink box drawn around the signature.

Monaz Noble
Whole Time Director &
Chief Financial Officer

Encl.: as above

AMIT K. TRIVEDI & ASSOCIATES

Company Secretaries & IP Attorneys

RECONCILIATION OF SHARE CAPITAL AUDIT

1. For Quarter Ended : 31st December, 2016
2. ISIN : INE 234A01025
3. Face Value : Rs. 5/- per share
4. Name of the Company : Novartis India Limited
5. Registered Office Address : Sandoz House, Shivsagar Estate, Dr. Annie Besant Road, Worli, Mumbai - 400 018
6. Correspondence Address : Same as above
7. Telephone & Fax Nos. : (T) +91 22 2495 8400, (F) + 91 22 2495 0221
8. Email Address : india.investors@novartis.com
9. Name of the Stock Exchanges Where The Company's Securities are listed : Bombay Stock Exchange Limited
10. Issued Capital : Rs. 140,703,985/- divided into 28140797 Equity Shares of Rs. 5/- each
11. Listed Capital (Exchange Wise) : Rs. 140,703,985/- divided into 28140797 Equity Shares of Rs. 5/- each



	No. of Share	% of Total Issued Capital
12. Held in Dematerialized form in CDSL :	1247420	4.43
13. Held in Dematerialized form in NSDL :	26204705	93.12
14. Physical :	688672	2.45
15. Total No. of Shares (12+13+14) :	28140797	100.00
16. Reasons for difference if any, between (10&11), (10&15), (11&15) :	N.A.	

17. Certifying the details of changes in share capital during the quarter under consideration as per table below:

Particulars	No. of Shares	Applied/ Not Applied for listing	Listed on stock Exchanges (Specify Names)	Whether intimated to CDSL	Whether intimated to NSDL	In-prin. Appr. Pending for SE
- N.A. -						

18. Register of Members is Updated (Yes/No) : Yes
If not, updated up to which date : N.A.
19. Reference of previous quarter with regards to excess dematerialized shares, if any : N.A.
20. Has the Company resolved the matter mentioned in point no. 19 above in the current quarter : N.A.



21. Mentioned the total, if any, confirmed after 21 days and the total no. of requests pending beyond 21 days with the reasons for delay.

Total No. of Demat Request	No. of Request	No of Shares	Reasons for Delay.
Confirmed after 21 days	NIL	NIL	NIL
Pending for more than 21 days	NIL	NIL	NIL

22. Name, Telephone & Fax No. of the Compliance Officer of the Co.

:

Mr. Girish Tekchandani, the Compliance Officer has left the Organization w.e.f August 12, 2016. The Company is in process of appointing suitable candidate.

23. Name, Address, Tel, & Fax no., Regn. No. of the Auditor

:

Amit K. Trivedi & Associates,
Company Secretaries
29-B, Mhatre Plaza,
Dahanukar Wadi, Kandivli West,
Mumbai - 400 067.
(T) 022-2967 2943
ACS No. 19738, C. P. No. 7059

24. Appointment of common agency for share Registry work

:

Link Intime India Pvt. Ltd.
C-13, Pannalal Silk Mills Compound,
L.B.S. Marg, Bhandup (W),
Mumbai-400 078
Phone: 2596 3838
Fax: 2594 6969

For Amit K. Trivedi & Associates

Date: 11/01/2017
Place: Mumbai



Amit K Trivedi
Amit K Trivedi
Membership No.: 19738
COP No.: 7059