



Novartis India Limited
Registered Office:
Sandoz House
Shivsagar Estate
Dr. Annie Besant Road
Worli, Mumbai 400 018
India
Tel +91 22 2495 8888
Fax +91 22 2495 0221
CIN No. L24200MH1947PLC006104
Website: www.novartis.in
Email: india.investors@novartis.com

The Secretary
Bombay Stock Exchange Limited
Phiroze Jeejeebhoy Towers
Dalal Street
Mumbai 400 001

October 13, 2016

Certificate pursuant to Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements), Regulations 2015

Dear Sirs,

Pursuant to Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations 2015, we enclose herewith a Certificate from a practicing Company Secretary with respect to transfers for half year ended September 30, 2016, for your information and records.

Thanking you.

Yours sincerely,

For Novartis India Limited

A handwritten signature in blue ink, appearing to read "Monaz Noble", written over a horizontal line.

Monaz Noble
Whole Time Director &
Chief Financial Officer

Encl.: as above

AMIT K. TRIVEDI & ASSOCIATES

Company Secretaries & IP Attorneys

RECONCILIATION OF SHARE CAPITAL AUDIT

1. For Quarter Ended : 30th September, 2016
2. ISIN : INE 234A01025
3. Face Value : Rs. 5/- per share
4. Name of the Company : Novartis India Limited
5. Registered Office Address : Sandoz House, Shivsagar Estate, Dr. Annie Besant Road, Worli, Mumbai - 400 018
6. Correspondence Address : Same as above
7. Telephone & Fax Nos. : (T) +91 22 2495 8400, (F) + 91 22 2495 0221
8. Email Address : india.investors@novartis.com
9. Name of the Stock Exchanges Where The Company's Securities are listed : Bombay Stock Exchange Limited
10. Issued Capital : Rs. 140,703,985/- divided into 28140797 Equity Shares of Rs. 5/- each
11. Listed Capital (Exchange Wise) : Rs. 140,703,985/- divided into 28140797 Equity Shares of Rs. 5/- each



	No. of Share	% of Total Issued Capital
12. Held in Dematerialized form in CDSL :	1234116	4.38
13. Held in Dematerialized form in NSDL :	26212774	93.15
14. Physical :	693907	2.47
15. Total No. of Shares (12+13+14) :	28140797	100.00
16. Reasons for difference if any, between (10&11), (10&15), (11&15) :	N.A.	

17. Certifying the details of changes in share capital during the quarter under consideration as per table below:

Particulars	No. of Shares	Applied/ Not Applied for listing	Listed on stock Exchanges (Specify Names)	Whether intimated to CDSL	Whether intimated to NSDL	In-prin. Appr. Pending for SE
<p>The Company vide its Board Resolution dated May 26, 2016 approved the buyback of up to 3,820,000 fully paid-up equity shares of Rs. 5/- at a price of Rs. 760 per equity share payable in cash for an aggregate amount of Rs. 290.32 crores from the equity shareholders of the Company on a proportionate basis through the Tender offer route. Accordingly, as at 21st September, 2016 the Company's issued, subscribed and paid-up share capital has reduced from 31,960,797 equity shares of Rs. 5/- each to 28,140,797 equity shares of Rs. 5/- each.</p>						

18. Register of Members is Updated (Yes/No) :
 If not, updated up to which date :



19. Reference of previous quarter with regards to excess dematerialized shares, if any

: N.A.

20. Has the Company resolved the matter mentioned in point no. 19 above in the current quarter

: N.A.

21. Mentioned the total, if any, confirmed after 21 days and the total no. of requests pending beyond 21 days with the reasons for delay.

Total No. of Demat Request	No. of Request	No of Shares	Reasons for Delay.
Confirmed after 21 days	NIL	NIL	NIL
Pending for more than 21 days	NIL	NIL	NIL

22. Name, Telephone & Fax No. of the Compliance Officer of the Co.

:

Mr. Girish Tekchandani, the Compliance Officer has left the Organization w.e.f August 12, 2016. The Company is in process of appointing suitable candidate.

23. Name, Address, Tel, & Fax no., Regn. No. of the Auditor

:

Amit K. Trivedi & Associates,
Company Secretaries
29-B, Mhatre Plaza,
Dahanukar Wadi, Kandivli West,
Mumbai - 400 067.
(T) 022-2967 2943
ACS No. 19738, C. P. No. 7059



**24. Appointment of common agency for share
Registry work**

Link Intime India Pvt. Ltd.
C-13, Pannalal Silk Mills Compound,
L.B.S. Marg, Bhandup (W),
Mumbai-400 078
Phone: 2596 3838
Fax: 2594 6969

For Amit K. Trivedi & Associates

**Date: 13/10/2016
Place: Mumbai**



Amit K Trivedi
Amit K Trivedi
Membership No.: 19738
COP No.: 7059