

AMIT K. TRIVEDI & ASSOCIATES

Company Secretaries & IP Attorneys

RECONCILIATION OF SHARE CAPITAL AUDIT

1. For Quarter Ended : 30th June, 2016
2. ISIN : INE 234A01025
3. Face Value : Rs. 5/- per share
4. Name of the Company : Novartis India Limited
5. Registered Office Address : Sandoz House, Shivsagar Estate, Dr. Annie Besant Road, Worli, Mumbai - 400 018
6. Correspondence Address : Same as above
7. Telephone & Fax Nos. : (T) +91 22 2495 8675, (F) + 91 22 2495 0221
8. Email Address : india.investors@novartis.com
9. Name of the Stock Exchanges Where The Company's Securities are listed : Bombay Stock Exchange Limited
10. Issued Capital : Rs.159, 803, 985/- divided into 31960797 Equity Shares of Rs. 5/- each
11. Listed Capital (Exchange Wise) : Rs.159, 803, 985/- divided into 31960797 Equity shares of Rs.5/- each



	No. of Share	% of Total Issued Capital
12. Held in Dematerialized form in CDSL :	1405057	4.40
13. Held in Dematerialized form in NSDL :	29853253	93.40
14. Physical :	702487	2.20
15. Total No. of Shares (12+13+14) :	31960797	100.00
16. Reasons for difference if any, between (10&11), (10&15), (11&15) :	N.A.	

17. Certifying the details of changes in share capital during the quarter under consideration as per table below:

Particulars	No. of Shares	Applied/ Not Applied for listing	Listed on stock Exchanges (Specify Names)	Whether intimated to CDSL	Whether intimated to NSDL	In-prin. Appr. Pending for SE
			- N.A. -			

18. Register of Members is Updated (Yes/No) : Yes

If not, updated up to which date : N.A.

19. Reference of previous quarter with regards to excess dematerialized shares, if any : N.A.

20. Has the Company resolved the matter mentioned in point no. 19 above in the current quarter : N.A.



21. Mentioned the total, if any, confirmed after 21 days and the total no. of requests pending beyond 21 days with the reasons for delay.

Total No. of Demat Request	No. of Request	No of Shares	Reasons for Delay.
Confirmed after 21 days	NIL	NIL	NIL
Pending for more than 21 days	NIL	NIL	NIL

22. Name, Telephone & Fax No. of the Compliance Officer of the Co.

:

Mr. Girish Tekchandani
Company Secretary
& Compliance Officer
(T) +91 22 2495 8675
(F) +91 22 2495 0221

23. Name, Address, Tel, & Fax no.,
Regn. No. of the Auditor

:

Amit K. Trivedi & Associates,
Company Secretaries
29-B, Mhatre Plaza,
Dahanukar Wadi, Kandivli West,
Mumbai - 400 067.
(T) 022-2967 2943
ACS No. 19738, C. P. No. 7059

24. Appointment of common agency for share
Registry work

:

Link Intime India Pvt. Ltd.
C-13, Pannalal Silk Mills Compound,
L.B.S. Marg, Bhandup (W),
Mumbai-400 078
Phone: 2596 3838
Fax: 2594 6969

For Amit K. Trivedi & Associates

Date: 12/07/2016
Place: Mumbai



Amit K Trivedi
Amit K Trivedi
Membership No.: 19738
COP No.: 7059