

Novartis India Limited

Registered Office: Inspire BKC Part of 601 & 701 Bandra Kurla Complex Bandra (East) Mumbai – 400 051 Maharashtra, India Tel +91 22 50243000 Fax +91 22 50243010

Email: india.investors@novartis.com CIN No. L24200MH1947PLC006104

Website: www.novartis.in

April 21, 2021

The Secretary BSE Limited, Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai - 400 001

Sub.: Statement of Investor Complaints/Grievances for the quarter ended March 31, 2021 with respect to Equity Shares issued by Novartis India Limited ("the Company")

Ref.: 1. Regulaiton 13(3) of the Securities and Exchange Board of India (Listing Obligations and Disclosures Requirements) Regulations, 2015

2. Scrip Code - 500672

Dear Sir/ Madam,

Statement of Investor Complaints for the quarter ended March 31, 2021, with respect to the Equity Shares of the Company, prepared on the basis of the report dated April 10, 2021 obtained from the Registrar and Transfer Agent of the Company i.e. Link Intime India Private Limited (enclosed as **Annexure 1**) is as under:

Particulars	Number of Complaints
Complaints pending at the beginning of the quarter (i.e. as on January 1, 2021)	0
Complaints received during the quarter (i.e. January 1, 2021 to March 31, 2021)	0
Complaints disposed of during the quarter (i.e. January 1, 2021 to March 31, 2021)	0
Complaints remaining unresolved at the end of the quarter (i.e. as on March 31, 2021)	0

This is for your information and record.

Thanking you.

Yours sincerely,

For **Novartis India Limited**

Trivikram Guda
Company Secretary &
Compliance Officer

Encl.: as above



Link Intime India Pvt. Ltd.

CIN: U67190MH1999PTC118368 C-101, 247 Park, L. B. S. Marg, Vikhroli (West), Mumbai - 400 083.

Tel.: +91 22 4918 6000 Fax: +91 22 4918 6060

E-mail: mumbai@linkintime.co.in Website: www.linkintime.co.in

10th April, 2021

TO,
THE COMPANY SECRETARY
NOVARTIS INDIA LTD.
INSPIRE BKC, 6TH AND 7TH FLOOR,
BANDRA KURLA COMPLEX,
BANDRA (EAST),
MUMBAI - 400051

Sub: Statement of Investor Complaints, Regulation 13(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Dear Sir / Madam,

This is to certify that we have not received any complaint for the period 1st January 2021 to 31st March 2021.

Sr.	Particulars	No.of
no.	9	Complaints
1	Complaints pending prior to 31.12.2020	0
2	Complaint received during the quarter	0
3	Complaint resolved during the quarter	0
4	Complaint pending at the end of quarter	0
e.	g as the site of quarter	

This is for your information.

Yours faithfully, For Link Intime India Pvt Ltd.

Authorised Signatory