



Novartis India Limited
Registered Office:
Inspire BKC
Part of 601 & 701
Bandra Kurla Complex
Bandra (East)
Mumbai – 400 051
Maharashtra, India
Tel +91 22 50243000
Fax +91 22 50243010
Email: india.investors@novartis.com
CIN No. L24200MH1947PLC006104
Website: www.novartis.in

October 30, 2020

The Secretary
BSE Limited
Phiroze Jeejeebhoy Towers,
Dalal Street,
Mumbai - 400 001

Sub.: Reconciliation of Share Capital Audit Report obtained by Novartis India Limited (“the Company”) for the quarter ended on September 30, 2020

**Ref.: 1. Regulation 76 of the Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018 (“SEBI Depositories Regulations”)
2. Scrip Codes: BSE - 500672**

Dear Sir/ Madam,

Please note that in terms of the applicable provisions of the SEBI Depositories Regulations, the Company has obtained the Reconciliation of Share Capital Audit Report for the quarter ended on September 30, 2020 from Saraf & Associates, Company Secretaries and the same is enclosed herewith as **Annexure A**.

This is for your information and records.

Thanking you,

Yours faithfully,

For **Novartis India Limited**

Trivikram Guda
Company Secretary &
Compliance Officer

Encl.: as above

SARAF & ASSOCIATES

COMPANY SECRETARIES

www.sarafandassociates.com

423, Hind Rajasthan Building, 95, Dadasaheb Phalke Road, Dadar (C.Rly.), Mumbai - 400 014.

☎ (022) 2413 0371 / 2415 3887 ☎ +91 98203 20072 ✉ kamalax_saraf@hotmail.com

RECONCILIATION OF SHARE CAPITAL

1. For Quarter Ended : 30th September 2020
2. ISIN : INE234A01025
3. Face Value : Re.5/-
4. Name of the Company : Novartis India Limited
5. CIN : L24200MH1947PLC006104
6. Registered Office Address : Inspire - BKC, Part of 601 and 701, Bandra Kurla Complex, Bandra East, Mumbai Mumbai City MH 400051.
7. Correspondence Address : Same as above
8. Telephone & Fax No. : (T)+91 22 50243000, (F) + 91 22 50243010
9. Email Address : india.investors@novartis.com
10. Name of the Stock Exchange : Bombay Stock Exchange (BSE)
where the Company's securities
are listed

		No. of Shares	% of Total Issued Capital
11.	Issued Capital	24690797	
12.	Listed Capital (Exchange wise) BSE/NSE (As per Company Records)	24690797	100.00
13.	Held in Dematerialised form in CDSL	1533712	6.21
14.	Held in Dematerialised form in NSDL	22735832	92.08
15.	Physical	421253	1.71
16.	Total No. of Shares (13 + 14 + 15)	24690797	100.00
17.	Reasons for difference if any Between (11&12) (11 & 16) (12 & 16)	Not Applicable	

RECONCILIATION OF SHARE CAPITAL AUDIT

18. Certifying the details of change in share capital during the quarter under consideration as per Table below:

Particulars ***	No of shares	Applied / Not Applied for Listing	Listed on Stock Exchange (Specify Name)	Whether Intimated to CDSL	Whether Intimated to NSDL	In Principle Appl. Pending for SE (Specify Names)
NIL						

*** Rights, Bonus, Preferential Issues, ESOP's, Amalgamation, Conversion, Buyback, Capital Reduction, Forfeiture, Any other (to Specify)

19. Register of Members is updated (Yes/No) : Yes

20. Reference of previous quarter with regards to excess dematerialized shares if any : Nil

21. Has the company resolved the matter mentioned in point no.20 above in the current quarter? if not, reason why? :N.A.

22. Mention the total no. of requests, if any, Confirmed after 21 days and the total No. of requests pending beyond 21 days with the Reasons for delay * :

Total No. of Demat Requests	No of Requests	No of Shares	Reasons for delay
Confirmed after 21 days	Nil	Nil	N.A.
Pending for more than 21 days	Nil	Nil	N.A.

*SEBI vide circular no. SEBI/HO/MIRSD/DOP/CIR/P/2020/142 dated July 29, 2020 has excluded period from March 23, 2020 till September 30, 2020 for processing of the Demat Request Form by Issuer/ RTA.

23. Name, Telephone & Fax no. of the Compliance Officer of the Company : Mr. Trivikram Guda (A17685)
Company Secretary and Compliance Officer
Tel : +91 22 50243000
Fax: +91 22 50243010

24. Name, Address, Tel, and Fax no. Regn. No. of the Auditor : K.G. SARAF
SARAF & ASSOCIATES
Practising Company Secretaries
423,Hind Rajasthan Bldg.
95, D.S.P. Road, Dadar (East),
Mumbai – 400014.
Tel.No.24130371/24153887
Membership No : F1596 : CP No.642.

RECONCILIATION OF SHARE CAPITAL AUDIT

25. Appointment of Common Agency for Share Registry Work : LINK INTIME INDIA PVT. LTD
C-101, 247 Park,
L.B.S. Marg, Vikhroli (W),
Mumbai – 400083.
26. Any other details that the Auditor may like to provide (e.g. BIFR Company, delisting from SE, Company changed its name etc.) : NO

Place : Mumbai

Date : 26th October 2020

UDIN : F001596B001057123

For SARAF & ASSOCIATES

**KAMALAX
GANAPAYYA SARAF**

K.G. SARAF
Practising Company Secretary
FCS: 1596 CP: 642

Digitally signed by KAMALAX GANAPAYYA SARAF
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