

Transfer of Shares to IEPF Demat Account

Transfer of Shares to Investor Education and Protection Fund (IEPF) Demat Account of the Central Government

Pursuant to the Section 124(6) of the Companies Act, 2013, read with Investor Education and Protection Fund Authority (Accounting, Audit, Transfer and Refund) Rules, 2016 ('the Rules'), all unpaid or unclaimed dividends are required to be transferred by the Company to the IEPF established by the Central Government, after the completion of seven years. Further, according to the Rules, the shares in respect of which dividend has not been paid or claimed by the shareholders for seven consecutive years or more shall also be transferred to the demat account created by the IEPF Authority in accordance with the Rules

Newspaper Publication – IEPF Reminder to Shareholders

Shares and dividend liable to be transferred - Base year 2015-16

Shares and dividend liable to be transferred at that point of time– Base Year 2014-15

Shares and dividend liable to be transferred at that point of time– Base Year 2013-14

Shares and dividend liable to be transferred at that point of time – Base Year 2012-13

Newspaper Publication - July 9, 2020 - IEPF Reminder Notice

Newspaper Publication - May 25, 2019 - IEPF Reminder Notice

List of unpaid data from the year 2011-12 to 2017-18

Procedure for claiming shares or dividend from IEPF Authority

Newspaper Publication - May 25, 2018 - IEPF Reminder Notice

Newspaper Publication- April 18, 2017

Newspaper Publication

DETAILS OF EQUITY SHARES TRANSFERRED TO IEPF DEMAT ACCOUNT:

2009-10 to 2015-16 – Shares Transferred to IEPF on November 23, 2017

The details of Nodal officer of the Company for coordination with the IEPF authorities are:

Nikhil Malpani

Company Secretary & Compliance Officer
Novartis India Limited

Inspire BKC
Part of 601 & 701
Bandra Kurla Complex

Bandra (East)
Mumbai – 400 051
Maharashtra, India
Tel +91 22 50243000
Fax +91 22 50243010
Email: india.investors@novartis.com

Application to IEPF Authority for claiming unpaid dividend and shares transferred to IEPF

Shareholders may note that the shares/dividend transferred to IEPF can be claimed by making an application to the Authority in Form IEPF 5 (to be filed online) at the following link:

<http://www.iepf.gov.in/IEPFA/corporates.html>

(Appropriate form to be used by shareholders/claimants whose shares and/or dividend have been transferred by the Company to Investor Education and Protection Fund)

Address of IEPF authority for communication:

Mr. Aftab Alam,
Assistant General Manager
Investor Education and Protection Fund Authority,
Ministry of Corporate Affairs,
Ground Floor, Jeewan Vihar Building,
3, Parliament Street, New Delhi – 110001.

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- https://www.novartis.com/in-en/sites/novartis_in/files/2022-02/Shares%20and%20dividend%20liable%20to%20be%20transferred-Base%20year%202013-14.pdf
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- <http://www.iepf.gov.in/IEPFA/corporates.html>